

# Constructing an Optical Phase-Locked Loop for Partial-Transfer Imaging of Bose-Einstein Condensates

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## Abstract

Researching the dynamics of topological features within Bose-Einstein Condensates (BECs) can provide insight into the theory of superfluids and beyond. Since these topological defects are often too small to be resolved in situ, the BEC containing them must be released from its trap in order to be imaged. As the location of these features cannot be easily controlled in the laboratory, the study of their dynamics requires alternative approaches, such as imaging only a fraction of the BEC at a time, a technique called partial-transfer imaging. One of the ways in which a fraction of a BEC can be excited out of an optical trap is through stimulated Bragg-Raman transitions, which impart kinetic energy to the atoms and change their internal state.

A procedure has been established for driving Bragg-Raman transitions on  $^{87}\text{Rb}$  BECs in our lab, but it requires that two laser beams be phase-locked at difference of approximately 6.835 GHz. For this purpose, we have designed and constructed an optical phase-locked loop capable of maintaining a frequency offset of 6.912 GHz between two independent diode lasers. We discuss methods for tuning the loop, and we analyze the performance of the loop for several iterations of the system. The final system performance still needs improvement but is characterized by a square-mean phase error of  $\langle \Delta\phi^2 \rangle = 0.39$ , equivalent to 67.7% of the beat signal power in the carrier frequency. The loop should be optimized before using it for experiments with BECs, and we suggest some

initial steps for addressing potential problems with the system. Finally, we give an overview of the future laser system to be used in BEC experiments.

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# Chapter 1

## Introduction

Since the first dilute-gas Bose-Einstein Condensates (BECs) were produced independently by groups at JILA and MIT [1, 2] in 1995, they have been extensively used as a platform to study quantum mechanical systems at the macroscopic level. In particular, because of the superfluid properties of BECs, topological phenomena, such as quantized vortices, and its counterparts in cosmology and condensed matter physics, such as coreless vortices [3, 4], skyrmions [5, 6], and Dirac monopoles [7] can be observed. Study of these features allows us to challenge and improve our understanding of superfluids and beyond.

Since these topological features are small, in order to resolve them we must release the condensate from its trap to allow it to expand. As the location of tiny topological features within a BEC depends on the initial conditions of their creation, attempting to reproduce a BEC experiment with the intention of studying their dynamics is difficult. Hence, to study dynamics of these

microscopic features in BECs, we must be able to probe the same condensate more than once in the same experiment.

A partial-transfer imaging technique has been used in our lab as a method for studying the dynamics of vortices in BECs [8]. This method relies on changing the spin angular momentum state of a BEC in a magnetic trap, from a trapped to an untrapped state. Another limitation is that it restricts the number of magnetic field configurations that can be applied to the condensate while the trap is active, rendering creation of some topological defects such as skyrmions and monopoles impossible.

To overcome some of these issues, we seek to use partial-transfer imaging with an harmonic optical trap, instead of using the magnetic trap. By driving transitions between momentum states in optically trapped BECs, we can extract atomic samples for imaging while keeping the rest of the BEC in the trap, as has been described by Thomas [9]. Such a method requires two phase-locked laser beams at a frequency difference of 6.835 GHz to drive suitable transitions for atom extraction. This thesis describes the theory, design, construction and performance of an optical phase-locked loop to be used for the extraction transition.

## 1.1 Partial-Transfer Imaging of BEC

Almost all of the information we can extract from a BEC requires a photograph of its atomic density distribution. An absorption imaging technique is often employed, in which a near-resonant laser beam illuminates a BEC, casting a



shadow on a CCD camera behind it. In order for the topological features to be resolved, the condensate must be released from the trap and allowed to expand to the point at which the features are visible. This imaging method is destructive and only allows for one picture of a particular BEC.

If we are to study the dynamics of topological features however, some kind of “non-destructive” imaging method must be employed. Freilich et al. [8], developed a method for driving transitions between trapped and untrapped spin states of a fraction of the atoms in a BEC by using microwave pulses. We would like to use an analogous method for extracting atoms from an optical trap, by change of momentum state of the atoms. Such transitions are called Bragg transitions.

For more information on the BEC apparatus in our lab, see references [10–14].

## 1.2 Bragg-Raman Scattering

As discussed by Thomas [9], by configuring two counter-propagating laser beams of different frequencies to shine onto a  $^{87}\text{Rb}$  atom, we can impart momentum changes of  $\Delta p \approx 2h/\lambda$  while simultaneously changing the internal state of the atom, as shown in Fig. 1.1. We will refer to this type of transition as a Bragg-Raman transition.

Since we need to extract only a small fraction of the trapped atoms for imaging, while at the same time not disturbing the atoms in the optical trap, Thomas decided that a transition to the  $|2, 0\rangle$  state should be the target imag-

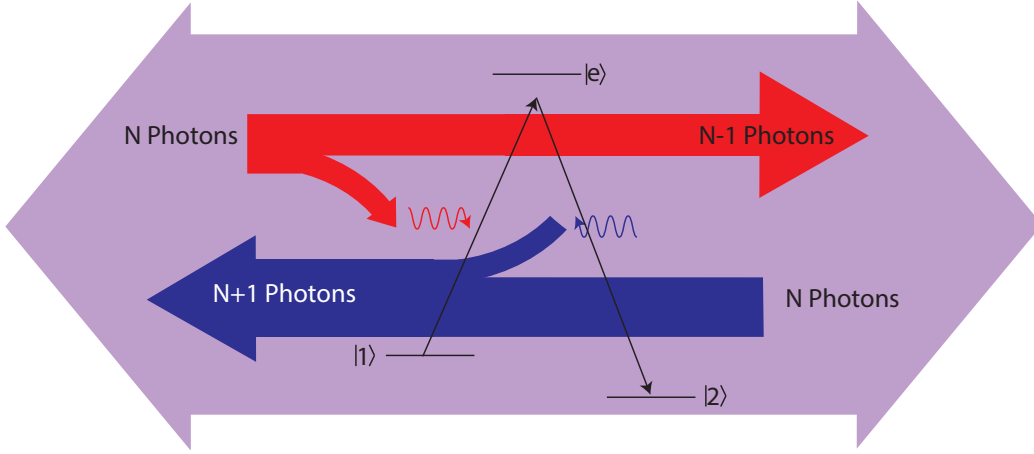


Figure 1.1: Stimulated emission with counter-propagating laser beams. One photon is absorbed from the red beam and another is emitted into the blue beam. As the beams are counter-propagating, the emitted photon is opposite to the direction of the absorbed photon, and the atom gains momentum approximately equal to  $\Delta p \approx 2h/\lambda$ . Figure from Thomas [9].

ing transition, allowing the atoms in the trap to be in any state of the  $F = 1$  manifold. Fig. 1.2 shows the target imaging transition and the test transition, the latter of which was successfully driven by Thomas.

Thomas was ultimately successful in driving a test transition, shown in Fig. 1.2, with a single laser setup and the use of an acousto-optic modulator for setting the required frequency difference between the two beams. For the target imaging technique however, the frequency difference is  $\approx 6.835$  GHz and a different method must be employed.

From his calculation of the fundamental Rabi frequencies, which correlate to the coupling strength of a transition, he found the ratio

$$\frac{\Omega_{|2,0\rangle}}{\Omega_{|1,1\rangle}} \approx 4. \quad (1.1)$$

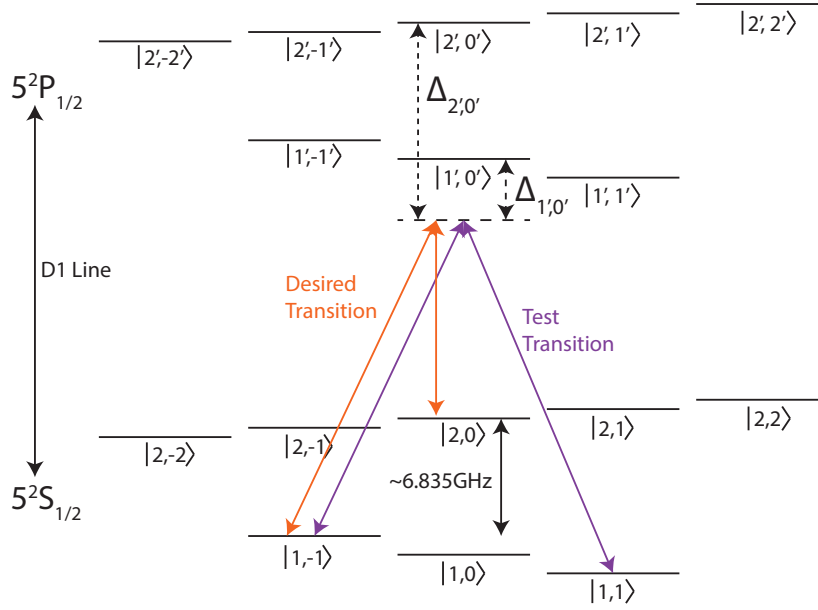


Figure 1.2: Desired Imaging Transition and Thomas' test transition are shown. Both transitions require that the beams are detuned from the  $|1', 0'\rangle$ . For the desired transition, the beams must be  $\approx 6.835$  GHz apart. Figure from [9].

for the Rabi frequencies of the test transition and of the target imaging transition. Thus he concluded that the target imaging method was likely to work with minimal modification of the apparatus he used.

### 1.3 Optical Phase-Locked Loop Laser System

In order to achieve the frequency difference of 6.835 GHz between the two beams for driving the desired imaging transition, we decided to phase lock two independent lasers. This system uses the beat signal between the two lasers as an input to a phase-locked loop synthesizer. Phase-locking is achieved by allowing the loop to control one of two lasers. This method has the advantage

of being low-cost and flexible. Another method would be to employ a high frequency acousto-optic modulator to increase the frequency of a laser to 6.835 GHz, which would be conceptually similar to the scheme Thomas used [9]. Acousto-optic modulators with such a large bandwidth tend to be expensive [15] and the advantage they offer in simplicity of integration does not outweigh its cost, in our judgement.

## 1.4 Prospectus

In the remainder of this document, we study the design, construction and performance of an optical phase-locked loop system for driving Raman transitions in a BEC. In Chapter 2 we will study the fundamental theory behind a phase-locked loop, how phase-locked loop synthesizers work, how to optimize a synthesizer, and, finally, how to construct an optical phase-locked loop. In Chapter 3, we reveal our implementation for an optical phase-locked loop with the goal of eventually driving the imaging transition in  $^{87}\text{Rb}$  atoms. In Chapter 4 we characterize the system performance and discuss improvements. For Chapter 5 we describe the overall laser system that will be used in our laboratory for experiments with BECs using the Raman transitions.

# Chapter 2

## OPLL Fundamental Theory

Optical phase-locked loops (OPLLs) have been constructed for the past few decades to study a variety of physical phenomena that requires coherence of laser beams, such as electromagnetically induced transparency (EIT) [16, 17], cold atom interferometry [18], frequency metrology [19] and many others. Since OPLL construction is mainly motivated by research in optics laboratories, most OPLL systems are implementation-specific and there is no general primer on their design. The common ground for understanding OPLL systems, the heart of the system, is usually an electronic phase-locked loop. The fundamental theory behind phase-locked loops extends naturally to OPLLs and for this reason, we first delve into analysis of standard phase-locked loops.

### 2.1 PLL

A phase-locked loop (PLL) is a negative feedback control system that drives the phase of an oscillator to track the phase of a reference oscillator. We define

phase to be the argument of the analytic representation of a signal, i.e., for a simple sinusoidal

$$\phi = A \cos(\omega t + \theta), \quad (2.1)$$

where  $A$  is the signal's amplitude,  $\omega$  is the angular frequency and  $\theta$  is the phase offset. An electronic PLL usually consists of the following essential elements: a phase detector (PD), a loop filter (LF), a voltage-controlled oscillator (VCO), and a reference oscillator (REF) (see Fig. 2.1) [20].

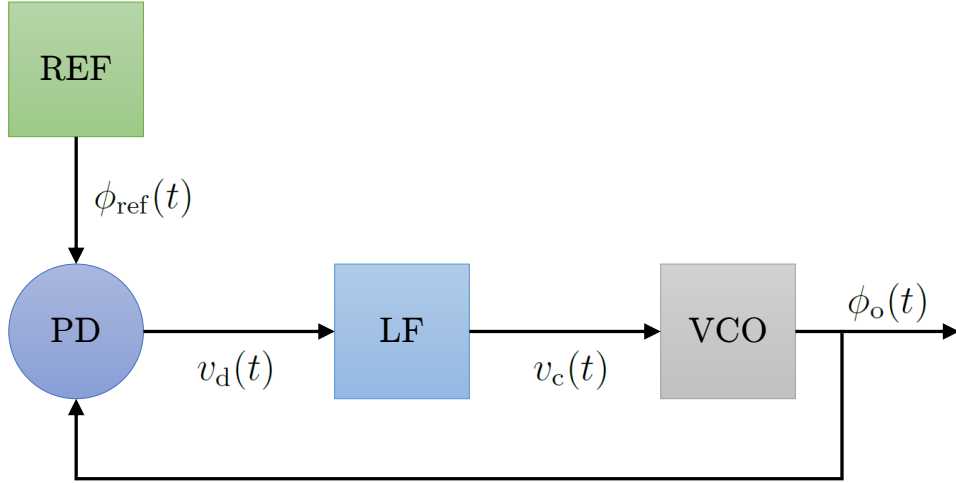


Figure 2.1: Block diagram of a PLL.  $v_c(t)$  voltage controls the VCO.  $\phi_o(t)$  and  $\phi_{\text{ref}}(t)$  are the phases related to the VCO's and reference's signals respectively. The difference in phase between VCO and REF are translated into an error voltage  $v_d(t)$ .

The VCO is controlled by a voltage input  $v_c(t)$  and generates an output signal with frequency  $\omega_o(t)$  and phase  $\phi_o(t)$ . The reference oscillator provides a known stable frequency signal to which the PLL can phase lock, with frequency

$\omega_{\text{ref}}(t)$  and phase  $\phi_{\text{ref}}(t)$ . VCO and REF signals are compared at the PD, which outputs an error voltage,  $v_d(t)$ , based on the phase difference between the two inputs given by

$$\phi_e(t) = \phi_{\text{ref}}(t) - \phi_o(t). \quad (2.2)$$

The error voltage is then processed by the loop filter to become the control voltage of the VCO, thus closing the feedback loop.

The negative feedback causes the control voltage to modulate the VCO center frequency, adjusting  $\phi_e$  to a constant. Since frequency is the time derivative of phase, phase tracking also means that  $\omega_o(t) = \omega_{\text{ref}}(t)$ . The loop is considered locked when the average frequency of the VCO, set by the  $v_c$  offset, is equal to the average frequency of the reference oscillator. If we place frequency dividers in the feedback path and between the PD and the REF, we can make  $\omega_o(t)$  proportional to  $\omega_{\text{ref}}(t)$  by a constant. Hence, the system will become a frequency synthesizer using the REF as a timebase. We discuss PLL synthesizers in Sec. 2.2.

In the next subsections we develop a linear model for PLLs and use it to study specific system configurations. We also develop the language for characterizing the performance of PLLs.

### 2.1.1 PLL Linear Analysis

Although PLL systems are inherently nonlinear, a linear model is applicable when phase error is small, which is a condition normally attained when the loop is locked [20]. Note that phase error in this case includes the phase difference

between the inputs and the expected phase offset the loop introduces, which could be zero. Thus we can analyze the steady-state loop by approximating it as a linear time-invariant (LTI) system, allowing us to use many powerful concepts and tools from LTI theory. The nonlinear analysis of PLLs is much more challenging but luckily is not usually needed. As we will see at the end of the section, from the linear analysis alone we can reliably calculate the range of certain parameters at which the lock is stable. Also, for any PLL system, the negative feedback guarantees the loop will eventually come close to a frequency lock and enter the linear regime of the loop, further justifying our linear analysis.

LTI systems have remarkable properties that make them relatively easy to study. Most of the design guidelines for the construction of PLLs stem from powerful analytical tools developed for LTI systems [20]. A fundamental result of the theory is that any LTI system can be characterized entirely by a single function, the system's impulse response  $h(t)$  in the time domain [21]. Equivalently, in the  $s$ -domain, where  $s = \sigma + i\omega$  is the Laplace independent complex variable, any LTI system can be characterized by a transfer function  $H(s)$ , which is the Laplace transform of the analogous impulse function  $h(t)$  given by

$$\mathcal{L}\{h(t)\} = \int_0^\infty e^{-st}h(t)dt. \quad (2.3)$$

Note that the imaginary part of  $s$ , the frequency  $\omega$ , is the independent variable of a Fourier transform, which can take a function in the time domain and transform it to one in the frequency domain. The Laplace variable  $s$  also contains a real part,  $\sigma$ , which gives the rate of an exponential growth/decay,



necessary for characterizing systems governed by differential equations with exponential solutions. The  $s$ -domain and the frequency domain are used interchangeably in control theory. Hereafter we shall refer to the  $s$ -domain as the frequency domain. Also, we use lowercase letters,  $a(t)$ , to describe impulse response functions and uppercase letters,  $A(s)$  to describe transfer functions. Note that for any impulse function  $a(t)$

$$a(t) = \mathcal{L}^{-1} \{A(s)\}, \quad (2.4)$$

and for any transfer function  $A(s)$

$$A(s) = \mathcal{L} \{a(t)\}. \quad (2.5)$$

In the time domain, the output of an LTI system will be equal to the convolution of the input function and the impulse response, i.e.  $v_{\text{output}}(t) = v_{\text{input}}(t) * h(t)$ . In the frequency domain, the output is simply  $V_{\text{output}}(s) = V_{\text{input}}(s) \times H(s)$ , since the Laplace transform of a convolution of two functions is equal to the multiplication of the Laplace transforms of the functions. This is a powerful property, as it allows us to model any LTI system and find its transfer function by knowing the transfer functions of smaller individual subsystems.

We shall now analyze a PLL by taking advantage of the properties discussed above. As we stated before, the primary purpose of the loop is to phase lock the two oscillators as opposed to simply frequency locking them. Frequency lock follows naturally from the phase lock. Thus, we want to focus on how the

system transforms the input phase signals. The following derivation has been adapted from Gardner [20].

Consider a PLL of the sort depicted in Fig. 2.1 and assume the loop is locked. For a linear PD with gain  $K_d$  and dimensions of voltage, we expect the error voltage output of the PD to be

$$v_d(t) = K_d \phi_e(t). \quad (2.6)$$

The error voltage  $v_d$  is then processed by the loop filter. The loop filter is essential in the design of a PLL as it allows tuning of the PLL's performance parameters. We will expand the discussion on loop filters in Sec. 2.1.2 and 2.3.1, and describe the loop filter used in our OPLL system in Sec. 3.3. For now, we will use a generic impulse function,  $f(t)$ , to characterize the loop filter. The output of the filter, i.e., the control voltage, is

$$v_c(t) = v_d(t) * f(t), \quad (2.7)$$

If we assume the VCO is also linear, with a gain  $K_o$  and dimensions of frequency/voltage, then its frequency will be given by  $\Delta\omega(t) = K_o v_c(t) + w_0$ , where  $w_0$  is the VCO center frequency. Since frequency is the derivative of phase  $\phi_o$ , the VCO operation may be described as

$$\frac{d\phi_o}{dt} = K_o v_c(t), \quad (2.8)$$

where we omit the center frequency  $w_0$  as we are only concerned with the

loop's relative phase tracking behavior. Taking the Laplace transform of both sides of Eq. 2.8,  $\mathcal{L}\{d\phi_o/dt\} = s\Phi_o$  and  $\mathcal{L}\{K_o v_c(t)\} = K_o V_c(s)$ , we arrive at the phase relation of the VCO output in the frequency domain

$$\Phi_o(s) = \frac{K_o V_c(s)}{s}, \quad (2.9)$$

from which we confirm that the phase of the VCO is proportional to the integral of the control voltage, since  $1/s$  is the Laplace transform of an integration, as expected from Eq. 2.8.

We can now write the system's response to a phase error signal at the input by using the relations in Eqs. 2.6 and 2.7 for the control voltage  $V_c(s)$ :

$$\Phi_o(s) = \frac{K_o V_c(s)}{s} = \frac{K_d V_o(s) F(s)}{s} = \Phi_e(s) \frac{K_d K_o}{s} F(s). \quad (2.10)$$

Since a PLL is a negative feedback loop, we can condense the individual subsystems from Fig. 2.1 to that of a general negative feedback loop [22], as seen in Fig. 2.2. This warrants a description of the system by means of overall transfer functions standard to control loops, which will be very useful for all the subsequent analyses.

From Eq. 2.10, we find the open-loop transfer function of the system  $G(s)$ , which describes how the system processes a phase error  $\Phi_e(s)$  input, to be

$$G(s) = \frac{\Phi_o(s)}{\Phi_e(s)} = \frac{K_d K_o F(s)}{s}. \quad (2.11)$$

Note that a PLL will not function properly in the open-loop condition, but the

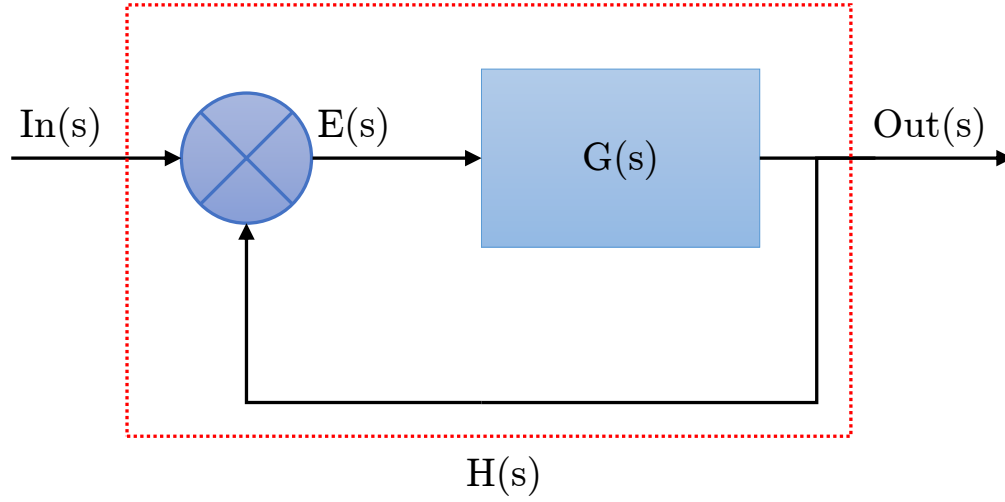


Figure 2.2: Block diagram of a standard negative feedback control loop.  $H(s)$  is the transfer function of the overall system, including feedback.

open-loop transfer function, also called open-loop gain, is a valuable concept in the analysis of the system.

With the feedback implementation, we arrive at two new transfer functions, the system transfer function  $H(s)$  and the error transfer function  $E(s)$ . These functions describe how the phase of the reference signal  $\Phi_{\text{ref}}$  appears at the output of the PLL and at the phase error  $\Phi_e(s)$  respectively. The system transfer function, sometimes also called the closed-loop gain, is given by

$$H(s) = \frac{\Phi_o(s)}{\Phi_{\text{ref}}(s)} = \frac{G(s)}{1 + G(s)} = \frac{K_d K_o F(s)}{s + K_d K_o F(s)}, \quad (2.12)$$

and the error transfer function is given by

$$E(s) = \frac{\Phi_e(s)}{\Phi_{\text{ref}}(s)} = \frac{1}{1 + G(s)} = 1 - H(s) = \frac{s}{s + K_d K_o F(s)}. \quad (2.13)$$

We observe that the behavior of the system transfer function is akin to that of a low-pass filter, since the gain decreases as frequency increases. The opposite is true for the error transfer function, which acts as a high-pass filter. Since the steady-state error will be given by the limit of  $E(s)$  as  $s \rightarrow 0$ , we can already infer that a larger gain  $K_d K_o F(s)$ , later defined as the loop bandwidth, will lead to a smaller phase error.

Furthermore, the open-loop transfer function can be written as the ratio of two polynomials A and B, which are useful for describing the system. The system transfer function then becomes

$$H(s) = \frac{A(s)}{B(s) + A(s)}. \quad (2.14)$$

This simplification will aid in the understanding of the shape of the transfer functions and the stability of the loop.

For any negative feedback loop, when

$$|G(s)| = 1 \quad (2.15a)$$

$$\text{Arg}[G(s)] = 180 \text{ deg} \quad (2.15b)$$

the denominator of Eq. 2.12 is undefined, and it becomes clear the loop will be completely unstable. This is due to the feedback flipping sign and resulting in

infinite gain on the phase error signal. At this point, it is useful to introduce the concept of a phase margin, equal to the absolute value of the phase introduced by the system minus  $180^\circ$  at the frequency where the gain is 1, also called the gain crossover frequency [23]. The loop is guaranteed to be unstable if the phase margin is negative. Fig. 2.3 shows two plots of the frequency response to the magnitude and to the phase of the input signal, collectively called a Bode plot, of a system transfer function with one zero at 1, and a second-order pole at the origin. The crossover frequency happens at  $\omega_c = \sqrt{2}$ , where the phase is  $-70.5^\circ$ . Thus, the phase margin is  $109.5^\circ$  and the system is far from being unstable at all frequencies. Also a similar concept to the phase margin is the gain margin, which is 1 minus the gain at which the phase introduced by the system is  $180^\circ$ .

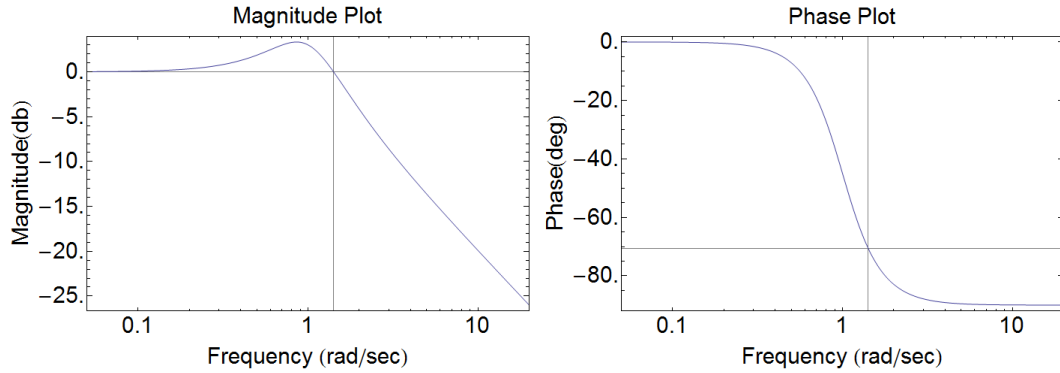


Figure 2.3: Bode plot of  $H(s) = \frac{s+1}{(s+1)(s^2)}$ , with gain crossover at  $\omega_c = \sqrt{2}$  and phase margin  $109.5^\circ$ .

A PLL can also be categorized by its order and its type. The order of the PLL is given by the degree of the characteristic polynomial. The type of a PLL refers to the number of integrators in the loop. Each integrator in the

system contributes one pole to the transfer function, so that the order can never be less than the type. Non-integrating components in the loop filter can also contribute poles to the transfer function but the type will not be affected. Type 2 PLLs are extremely common for PLL synthesizers owing to the widespread use of digital phase-frequency detectors, as we will discuss in Sec. 2.2. We will focus only on type 2 PLLs in our discussions henceforth. With certain care, we may ignore the effects of an extra integrator in the loop filter and still arrive at a reasonable description for a type 3 PLL [20].

### 2.1.2 Loop Filter

In the previous section, we did a preliminary study of the transfer functions governing a PLL, but we cannot say much more before specifying a loop filter transfer function  $F(s)$ . The loop filter is generally the most flexible part of the PLL system, allowing the engineer to tune the PLL design with the goal of satisfying the needs of a certain application.

Anticipating the use of a proportional-plus-integral (PI) filter in the OPLL system, we shall choose its transfer function now to complete our characterization of PLLs. This is the simplest filter that can be used with the type of phase detector chosen for the OPLL system, which outputs a current signal with the phase error information. Later in Sec. 3.3 we discuss the use of a higher-order filter. The transfer function for a PI filter, as seen in Fig. 2.4, is given by

$$F(s) = K_1 + \frac{K_2}{s}, \quad (2.16)$$

where  $K_1$  is the dimensionless coefficient of the proportional path through the filter and  $K_2$ , with dimensions of frequency, is the coefficient of the integral path.

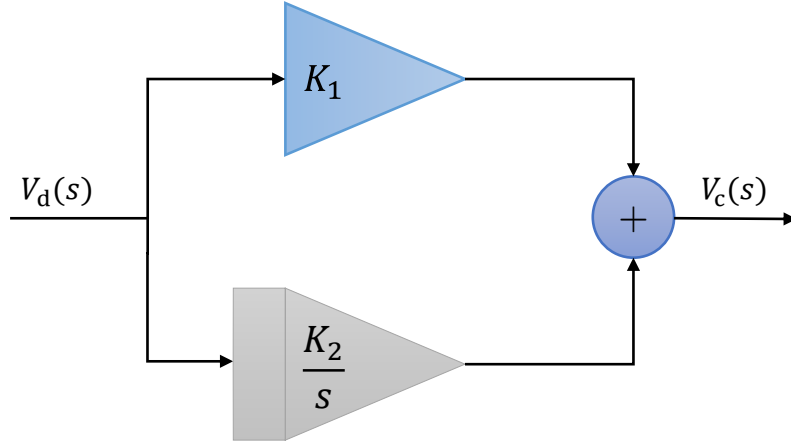


Figure 2.4: Block diagram of PI loop filter implemented as the loop filter in Fig. 2.1. The proportional path is the top one while the integral path is the bottom one. Their sum results in  $V_c$ .

The system transfer function, Eq. 2.12, then becomes

$$H(s) = \frac{K_d K_o (K_1 s + K_2)}{s^2 + s K_d K_o K_1 + K_d K_o K_2}. \quad (2.17)$$

As it is, the system is overdetermined and can be parametrized. Since we have a second-order loop, all we need are two parameters to describe the whole system. A very useful parameter, that will be used extensively later on, is the loop gain  $K$ , which is defined as

$$K = K_d K_o K_1 \quad (2.18)$$



for a second-order type 2 PLL. Note that the integral gain  $K_2$  did not enter into the definition of  $K$ . In fact, more generally, the loop gain for any PLL is determined entirely by the proportional path of the filter while integrators and other frequency-dependent responses are not involved in its definition at all [20]. Nonetheless, the loop gain has a dominant influence on the loop bandwidth as we will see below.

Using  $K$  to parameterize the system and the error transfer functions, Eqs. 2.12 and 2.13 respectively, we arrive at

$$H(s) = \frac{K(s + 1/\tau)}{s^2 + Ks + K/\tau}, \quad (2.19)$$

$$E(s) = \frac{s^2}{s^2 + Ks + K/\tau}, \quad (2.20)$$

where  $\tau$  is the second parameter needed to describe the system. Bode plots of these transfer functions are shown in Fig. 2.5. Note the low-pass filtering effect of  $H(s)$  and high-pass filtering effect of  $E(s)$ . Also note how the loop gain traces the corner frequency of the graph. As a matter of fact, for any PLL the loop gain is a strong indicator of the low-pass corner frequency [20] and for this reason we shall refer to it as the PLL loop bandwidth.

We could also adopt natural frequency and damping parameters for describing the PLL, which are analogous to a damped harmonic oscillator, also a second-order system. We will not derive this approach here, but it is a common means of analysis used by many textbooks in control theory [20, 23]. From the Bode Plots in fig. 2.5, the peak we see for gain greater than 0, especially pronounced when  $K = 1$ , is strongly dependent on the damping parameter.

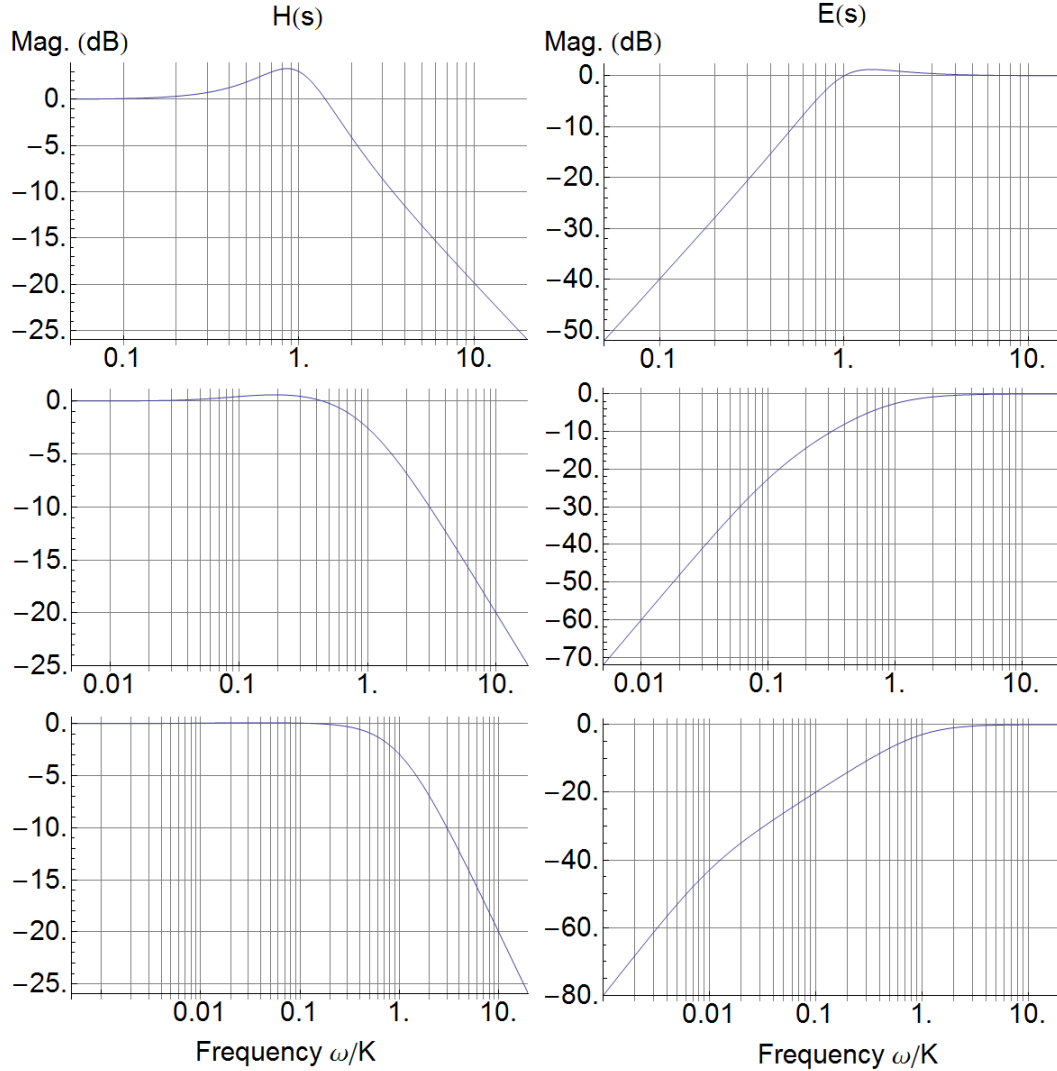


Figure 2.5: Bode plots of Eq. 2.19 and 2.20 with  $\tau=1$  and  $K=1, 10$  and  $100$  from top to bottom. Note how  $K$  is a good indicator of the corner frequencies.

Furthermore, many authors use the natural frequency to refer to the loop bandwidth of the PLL. Even though most common definitions of loop bandwidth are somewhat related to each other, care must be taken when comparing them.

## 2.2 PLL Synthesizer

As we suggested in the beginning of Sec. 2.1, by placing frequency dividers in the PLL, we can generate any desired frequency using the reference oscillator as timebase. Consider a basic version of a PLL synthesizer in Fig. 2.6. Frequency dividers  $N$  and  $R$  reduce the frequency of the VCO and REF oscillators respectively to a comparison frequency  $f_c$  at which the signals are compared by the PD. Note that  $f = \omega/2\pi$ . From the phase and frequency relationship we discussed in Sec. 2.1, the VCO frequency will be given by

$$f_o = \frac{f_{\text{ref}}}{R} N = N f_c. \quad (2.21)$$

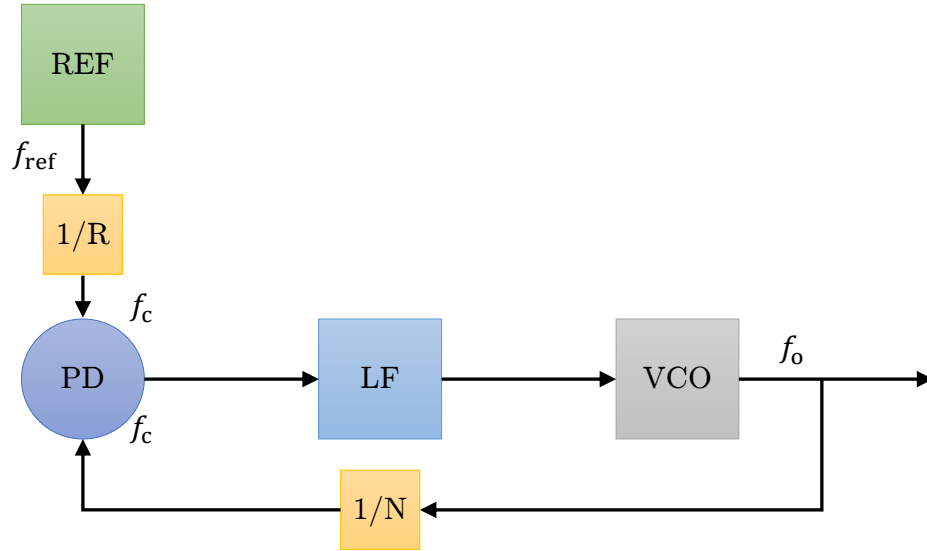


Figure 2.6: Block diagram of PLL synthesizer. Dividers  $R$  and  $N$  are placed in order to have the VCO not only phase lock to the REF but also output a signal at a frequency  $f_o = N f_{\text{ref}}/R$ .

Digital counters are extremely popular as frequency dividers given that they are low-cost, can provide large division ratios and can be programmable. They also have disadvantages when compared to analog dividers, mainly their large bandwidth makes them noisier than an analog divider of the same division ratio [20]. Use of a digital counter also constrains the choice of associated phase detector. Typically a phase-frequency detector is used, which has the advantage of ensuring the loop enters the linear regime quickly. As the system can be entirely placed in a single IC, this configuration is prevalent in the construction of PLL synthesizers.

For VCOs operating at GHz frequencies, it may not be feasible to find an effective counter for  $N$  [22]. In such cases where a large division is needed between the VCO and the PD, a prescaler, essentially a second digital counter, is used in the feedback path. There is more than one type of prescaler implementations, we focus on the dual-modulus prescaler since it is the prescaler used in our chosen OPLL synthesizer.

A dual-modulus prescaler, illustrated in Fig. 2.7, offers the advantage of having the frequency spacing resolution, i.e., the possible frequency output for given programmable counters, of  $f_c$  as is the case with a single  $N$  counter but which would not be the case of two cascaded  $N$  counters. The prescaler works as the following for each comparison cycle: At the start of the cycle the prescaler divider is set to  $P+1$ , and  $A$  and  $B$  counters are set to their programmed maximum value; each count from the prescaler decrements both  $A$  and  $B$  until  $A$  reaches 0; at this point counter  $B$  still has  $(B_{\text{initial}} - A_{\text{initial}})$  counts left until it reaches 0, and the prescaler switches to a division of  $f_o$  by

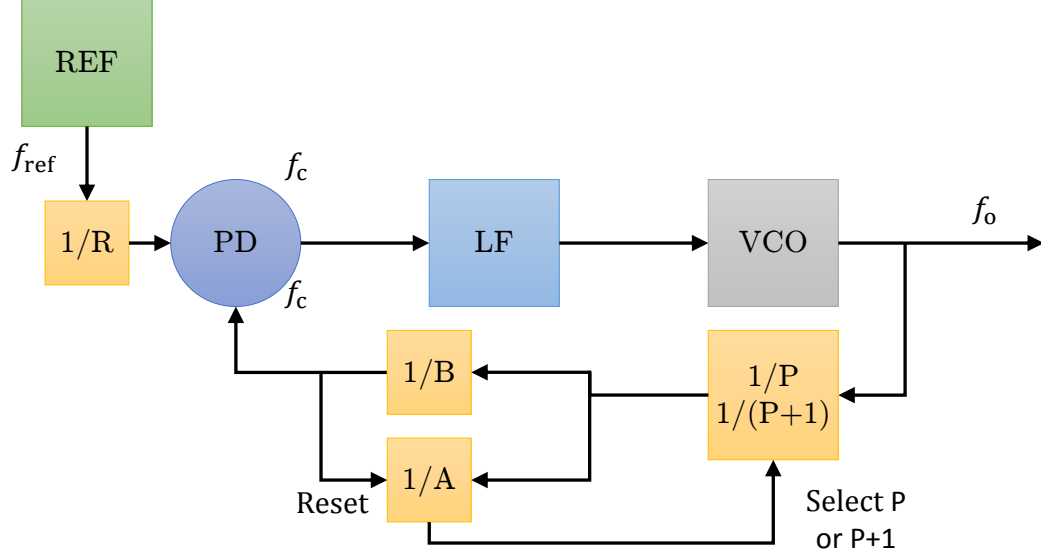


Figure 2.7: Block diagram of PLL synthesizer with dual-modulus prescaler. Refer to text for in-depth explanation of the prescaler behavior.

$P$ ; when  $B$  reaches 0 a count is outputted to PD and the cycle resets. This behavior yields an equivalent comparison counter  $N$  where

$$N = (B - A)P + A(P + 1) = BP + A. \quad (2.22)$$

### 2.2.1 Performance of Synthesizer and Phase Noise

The performance of a PLL synthesizer is commonly tested on grounds of stability and fast acquisition. Long term stability refers to the drift of the center frequency over long periods of time. Short term stability of the synthesizer is typically quantified by the residual phase noise of the VCO and is the most important noise measure for our experiments.

For an ideal PLL, following any phase drift of the VCO or REF, resulting in a non-zero  $\phi_e$ , the loop instantaneously adjusts the VCO for perfect tracking of the reference. In practice however, we observe the effects of phase noise, related to the amount of error in the phase tracking of the loop at any time. Noise generated anywhere in the PLL can contribute to phase noise as it propagates to the VCO. Large phase noise greatly decreases the performance of a PLL as the tracking errors can drive a PLL out of its linear regime. For small phase noise, where the loop maintains lock, we can treat the noise linearly such that the overall phase noise is a superposition of all the noise generated in the system. The system error response, Eq. 2.13, provides insight into the phase noise of the loop.

From Gardner [20] we find that for a PLL synthesizer the following is true regarding phase noise:

- Additive noise occurring between the phase detector and the filter is lowpass-filtered by the PLL;
- A large PD gain  $K_d$  is favorable for reducing the effects of additive noise arising before the loop filter;
- Small VCO gain  $K_o$  is favorable for reducing the effects of additive noise arising after the loop filter.

Also note that, from the introduction of the N and R counters, the error transfer function gets multiplied by the ratio  $N/R$  as any noise from the reference gets divided by  $R$ , while noise at the PD gets multiplied by  $N$ . Therefore the ratio  $N/R$  should be minimized in the PLL synthesizer design.

## 2.3 Optical PLL

Now that we have studied PLLs, it becomes easy to extend the system to phase lock two lasers. As shown in Fig. 2.8, by coupling light from the two lasers into a high-frequency photodiode, a beat signal given by the frequency difference between the two lasers is transformed into a voltage signal. Typically, an amplifier stage is necessary to boost the signal from the photodiode. Using this beat signal as  $f_o$  and controlling the frequency of a slave laser, the OPLL is analogous to the PLL synthesizer we have been studying.

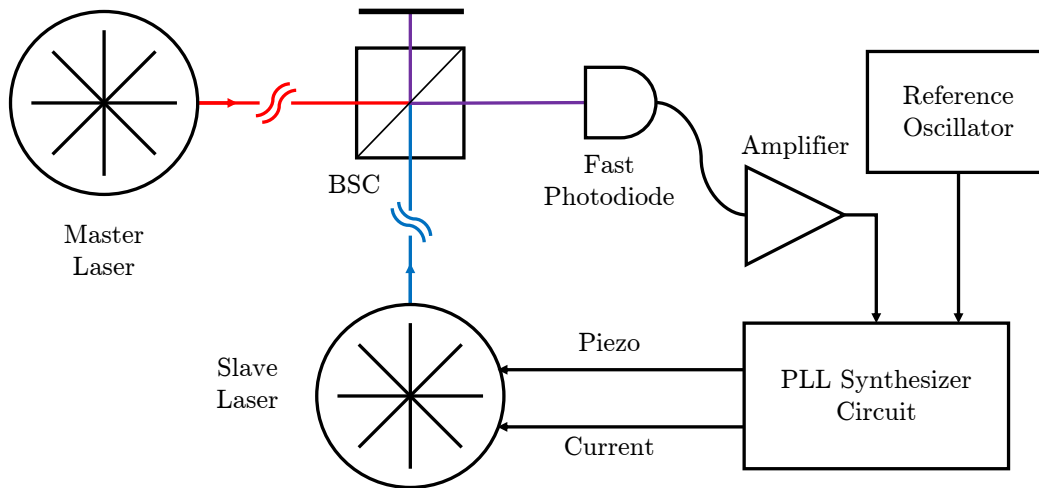


Figure 2.8: Diagram of an OPLL implementation based on a PLL synthesizer. BSC stands for beam splitter cube which lets half of beam power pass straight through the cube while the other half gets transmitted 90° to the right for any incoming light beam onto a face of the cube.

### 2.3.1 Laser as VCO

There are two main mechanisms through which a typical OPLL controls a slave laser: (1) modulation of the laser's injection current, and (2) modulation of the cavity length through the use of a piezoelectric device. The current modulation response has a large bandwidth and it is the most important for correcting phase noise due to high-frequency disturbances. The piezo is constrained to a bandwidth of a few kHz [24], and is responsible for maintaining long-term stability due to mechanical vibrations or temperature drifts.

It is important to note though that the frequency of semiconductor laser diodes depends on the current through two effects that dominate at different frequency ranges: carrier density effect at high modulation frequencies, which affects the refractive index of the gain medium, and temperature of the recombination area [21]. These two effects actually oppose each other, being equivalent to a phase shift of  $180^\circ$  in the laser's frequency response at the thermal cutoff frequency, at which the temperature effect ceases to dominate the response and the carrier density effect takes over. It is clear from the conditions in Eq. 2.15 that this phase shift can drive the loop unstable. We discuss solutions to this problem in Sec 3.3.2.



# Chapter 3

## OPLL System Construction

We build our OPLL system by carefully selecting components that not only enable a phase lock but that also minimize the residual phase noise of the system. As discussed in Sec. 1.2, Thomas [9] was able to drive a test transition, and, given the result of his calculation in Eq. 1.1, we expect similar results when driving the target imaging transition with the OPLL system. Our OPLL is based on many other implementations of OPLLs from the past couple of decades, especially on that of Appel et al. [24]. Our apparatus schematic is shown in Fig. 3.1 and we briefly explain it below.

The most important part of the OPLL is the phase detector. For their low-cost, high reliability and ease of integration into an OPLL system, we choose Analog Devices' ADF family of PLL synthesizers, which integrates a PFD and digital counters in a single chip. In fact, we decide to use the evaluation board made by Analog Devices as it is already a working and documented system which we can easily modify for our purposes. We discuss our choice of ADF

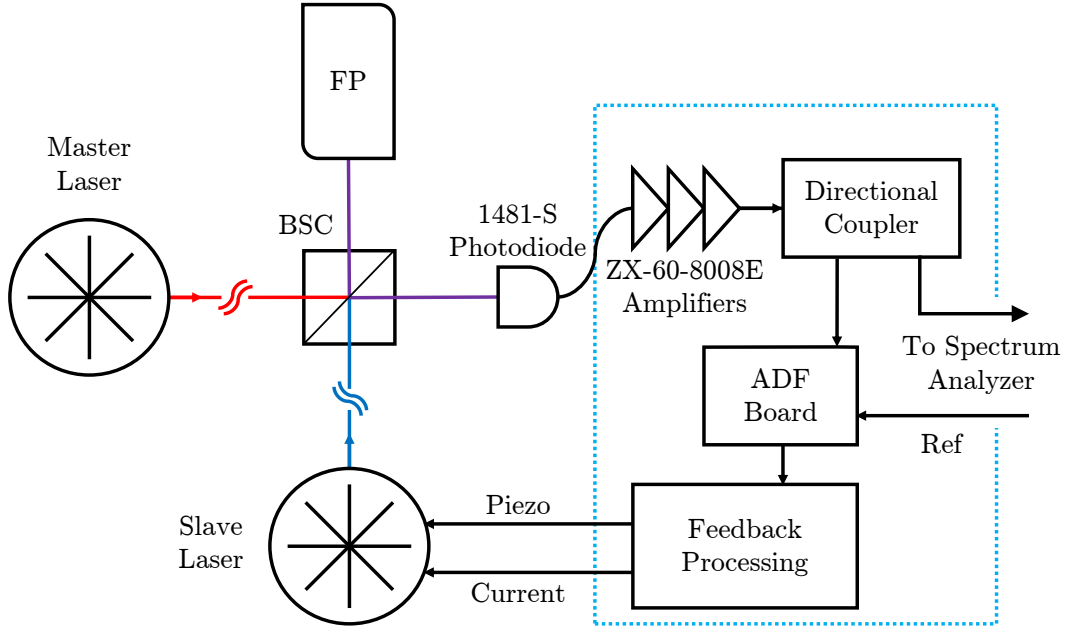


Figure 3.1: Schematic of the OPLL system. The blue rectangle indicates a physical box constructed for this system, which powers the photodiode, the amplifiers, the ADF eval. board and the feedback processing board. Compare with standard OPLL diagram in Fig. 2.8. See Chapter 5 for more information on the physical box.

chip, optimal settings, reference oscillator and all required modifications in Sec. 3.2.

Since the desired frequency difference between the two lasers is  $\approx 7$  GHz, the photodiode must have an equally large bandwidth. We choose the New Focus 1481-S high-speed photodiode, which has a 3-dB bandwidth of 25 GHz. With a responsivity of around 0.37 A/W, transimpedance gain of 25 V/A, and saturation power of 2 mW, we expect the maximum power output to be  $\approx -22$  dBm. Since the ADF requires an input of at least  $-5$  dBm, an amplifier stage composed of three Minicircuits ZX-60-8008E microwave amplifiers is added

after the photodiode. The amplifier is then connected to a -20 dB directional coupler, where the transmitted power, with loss of  $\approx 0.04$  dBm, is forwarded to the ADF input, and the coupled signal is routed to a HP563A spectrum analyzer for characterization of the system.

In order to facilitate the locking procedure, we also add a Fabry-Perot interferometer (FP) to the system. This allows the user to set the frequency of the slave laser near the desired frequency difference from the master laser, and also allows the user to ensure that the slave laser's frequency can be freely tuned while the laser is in a stable regime.

In the next sections we will further describe some of these elements. The last section, about processing of the piezo and current feedback loop, is of great importance to the tuning of the OPLL, which we discuss in the next chapter.

### 3.1 Lasers

The master and slave lasers are external cavity diode lasers (ECDLs) with diodes, QLD-795-150 from Qphotonics, of 795 nm nominal wavelength, and are both thermally stabilized. The master laser's injection current is controlled by an analog-based controller, while the slave laser is driven by a more robust controller, which can be set by programming an internal digital-to-analog converter instead of a commonly used potentiometer. This digital programming feature has not yet been implemented but is expected to be used in the final system (See Chapter 5). During all performance measurements of the OPLL,

we used the slave laser’s current control with a potentiometer.

The master laser, which is the same laser used by Thomas in his single-laser setup, is locked to an atomic transition in Rb using saturated absorption spectroscopy. The actuators in the feedback control loop of this lock are the same as in our OPLL system: current modulation and external cavity length modulation. We use the lock mechanism to stabilize the laser frequency, reducing its contribution to phase noise. In the future we will use the lock to set the detuning frequency for the Raman transition as discussed by Thomas [9]. Note that Thomas used a dichroic-atomic-vapor laser lock (DAVLL) for his experiments driving Raman transitions, and he cites their advantage of providing lockable slopes up to 500 MHz from the desired excited state resonance. Since we will be using acousto-optic modulators (AOM) for the Raman beams that have a 3-dB bandwidth of  $\approx 68$  MHz [25], we anticipate that a DAVLL is unnecessary.

The slave laser cavity was machined at Amherst College following the blueprints from Cook et al. [26]. This ECDL is built out of a single piece of aluminum and is optimized for thermal stability and vibration suppression. Our cavity is slightly modified from that of Cook et al. in order for us to use it in the OPLL system. These modifications include adjustment of the grating arm for better compatibility with a 795 nm laser and adaptation of the connector interface to include space for the current modulation connector and wiring. Although the system was built to be airtight, we did not use a vacuum on the cavity for our experiments. Nonetheless, we sealed the cavity, shielding it from the lab environment.

As we have discussed in Sec. 2.3.1, there are two standard ways of controlling the frequency of an ECDL: modulating the injection current and varying the cavity length through use of a piezoelectric transducer (piezo). For the current modulation, we choose to build a small board with a field-effect transistor (FET), MMBF5484, able to sink or source current to the diode inside the cavity. The board was manufactured to be placed right next to the laser diode, allowing local modulation of the current from a voltage signal. For more information on the construction of the board see appendix B. This FET-based solution needs to have a bandwidth large enough to allow correction of the fastest noise-induced instabilities of the ECDLs, which typically spans a few MHz [24]. By using a current sensing op-amp circuit, we studied the board's response when modulating current through a  $100\ \Omega$  load resistor at 5 V. We found that for frequencies up to 5 MHz, the FET's response was remarkably linear with minor frequency dependent amplitude variations and phase lag. Fig. 3.2 shows the FET response to a 1 MHz modulating signal  $V_{gs}$  between  $-1.4\text{ V}$  and  $1.4\text{ V}$ . These results were in agreement with earlier testing of an analogous FET, 2N5468, modulating the current flowing through a simple LED circuit. We could not reliably test higher modulation frequencies because of our measuring circuit's limitations, mainly stray capacitance and high inductance typical of a breadboard. Nevertheless, the MMBF5484 is expected to function with minimal noise and insignificant transadmittance variations at up to 200 MHz. At DC, modulation of  $\approx 1\text{mA}$  is observed between  $V_{gs}$  equal to  $-1.4\text{ V}$  and  $1.4\text{ V}$ . Note that the FET board contains a phase-advance loop filter, which will be important in Sec. 3.3.2 when attempting to correct for

phase shifts in the current modulation path.

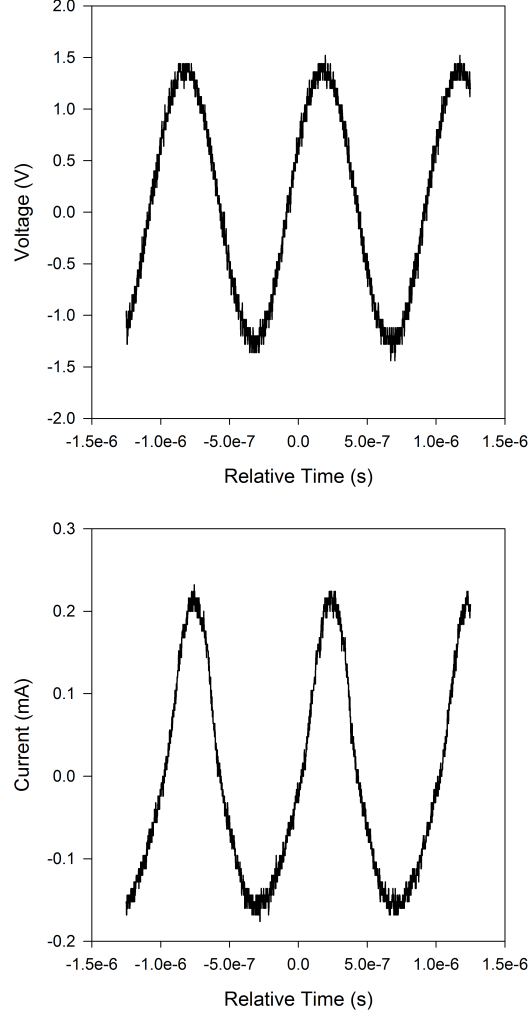


Figure 3.2: 2N5468 FET's response (bottom) to a modulating  $V_{gs}$  signal (top) at 1 MHz.

A piezo stack is used to displace the feedback grating of the ECDL by a few microns as described by Cook et al. [26]. It is imperative that no negative voltages are applied to the stack as they could be damaged. The bandwidth of a piezo is typically a few kHz, and it is not as important for minimizing phase

noise as the current modulation, since we expect it to only correct for slow phase drifts between the lasers. It is still important for the OPLL system as it can provide a bigger capture window for the lock, i.e., the range of  $f_o$  where the OPLL can reliably lock, thus assuring long-term stability and significantly aiding the current modulation in reducing phase noise at the low-frequency range. We could have put a high-pass filter on the current feedback so as to rely only on the piezo for the low-frequency corrections, but we chose not to do so for this prototype.

## 3.2 ADF

As discussed by Thomas [9], we require the two Raman beams to be at a frequency of 6.835 GHz apart [27]. For its successful use in an OPLL [24], and for its great versatility and simplicity, we choose the ADF4107 synthesizer chip for the OPLL system. The ADF4107 contains a PFD, a 14-bit R counter, a dual-modulus prescaler with allowed N values from 24 to around  $10^5$ , and its maximum frequency output is 7 GHz. Another advantage of choosing the ADF family of PLL synthesizers, is that Analog Devices distributes a PLL simulator, ADIsimPLL<sup>TM</sup>, compatible with all ADF chips, which can aid us in the preliminary design of the system and also allows saving ADF chip settings to a file for easy reprogramming.

The evaluation board for the ADF4107, EV-ADF411XSD1Z, is shown in Fig. 3.3. We choose to use this board in our system since it can easily be modified to our needs thus avoiding design and testing of a new microwave

board, which would require knowledge of microwave circuit design and expensive board testing tools. The evaluation board comes with a slot where the ADF USB programmer, SDP-S, can be attached.

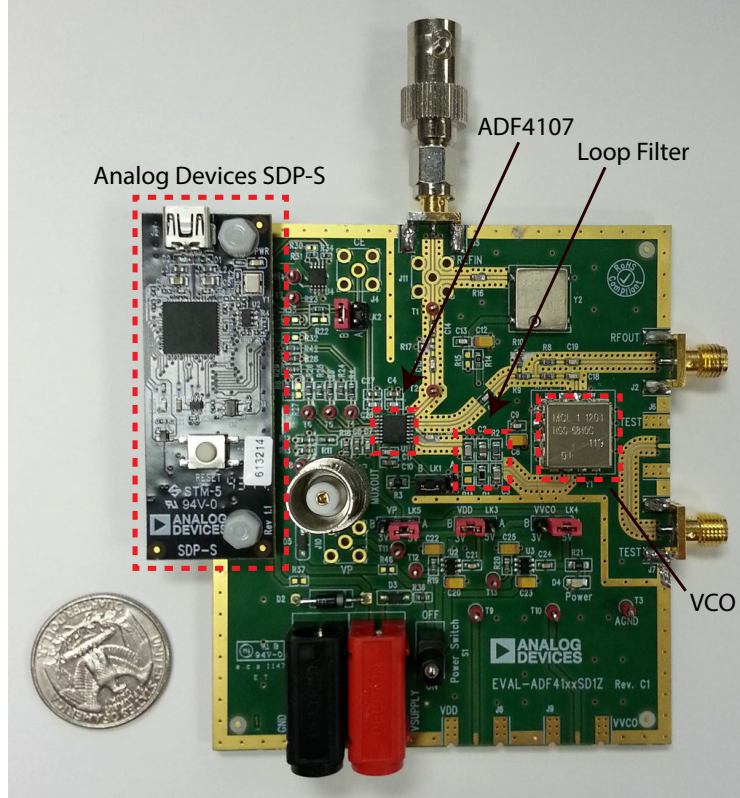


Figure 3.3: Photo of the Evaluation Board from Thomas [9].

In order to use the evaluation board with an external reference oscillator and VCO, we need to remove or replace components on the board. A simplified schematic of the evaluation board with the alterations in place is shown in Fig. A.1, in appendix A.

From our discussion in Sec. 2.2, to minimize phase noise we must make sure the ratio  $N/R$  is as small as possible. Since the maximum allowed REF



frequency ( $f_{\text{ref}}$ ) is 250 MHz, the maximum PFD frequency ( $f_c$ ) is 100 MHz, and the output frequency must be close to 6.835 GHz, we decide that the optimal set up for the ADF, also discussed by Appel et al. [24], is:

- $f_o = 6.912$  GHz;
- $f_c = 72$  MHz, with  $N = 96$  ( $P = 32$ );
- $f_{\text{ref}} = 216$  MHz, with  $R = 3$ .

As the reference oscillator, we use the HP8647A signal generator with frequency output of 216 MHz at 0 dBm. A 10-MHz timebase is provided to the reference and to the spectrum analyzer from a rubidium clock.

### 3.3 Loop Filter Implementation

In Sec. 2.1.2, we stated that the loop filter provides our system design with some flexibility, with which we can compensate for issues arising in other elements of the OPLL. The first part of the loop filter is a charge pump filter for the output of the ADF chip, which generates charge pulses proportional to the phase-frequency deviation of the two input signals. This initial filter can be placed right on the evaluation board, since the current signal is more susceptible to noise than the filter voltage output. Due to the pulsed-nature of the charge-pump output, and in order to minimize reference spurs and jitter caused by the modulation of voltage output, we use a third-order filter rather than a second-order filter, which does not include C2\*. We use *ADIsimPLL*<sup>TM</sup> to find a versatile setup for this first stage filter, i.e. values for the components

in the filter that allow us to tune the system using the subsequent stages of filtering, as it is impractical to tweak the value of surface-mount components. For this reason we use  $150\ \Omega$  for  $R1^*$ ,  $5.6\ \text{nF}$  for  $C1^*$  and  $33\ \text{nF}$  for  $C2^*$ . These values were not changed throughout the characterization of the system.

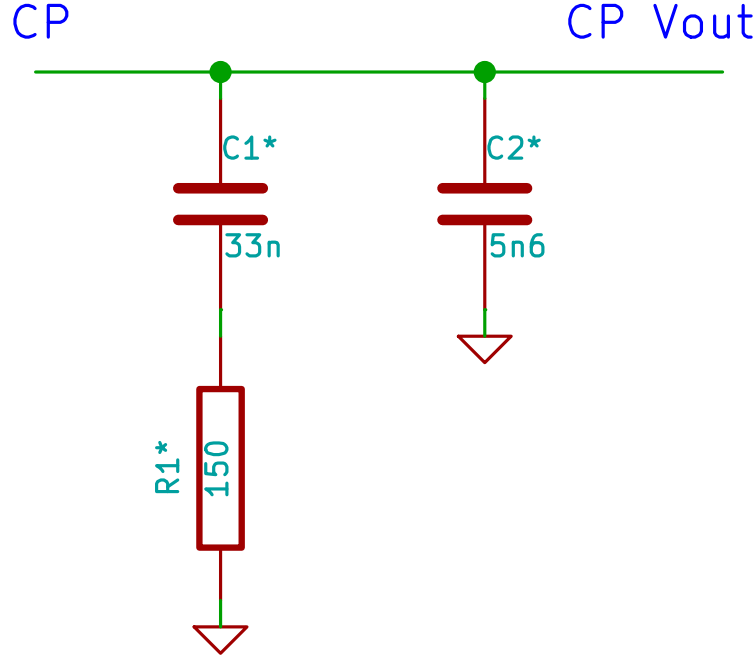


Figure 3.4: Third-order loop filter consisting of a PI stage plus a capacitor to ground. All of these components are soldered directly onto the evaluation board. With the removal of  $C2^*$  the filter becomes second-order.

A plot of the transfer functions of the second-order and the third-order charge-pump loop filters can be seen in Fig. 3.5. Note that a big disadvantage of using the capacitor  $C2^*$  is that the overall negative phase shift decreases the phase margin. Furthermore, increasing the overall loop gain increases the phase shift, bringing down the phase margin even lower. We must be really careful with this effect because it can easily cause the OPLL to be unstable if

any more negative phase shift is introduced. There is also a clear advantage of using the third-order filter and placing the extra pole at higher frequencies as the rate at which the amplitude response decays is smaller, allowing for better high-frequency response of the current feedback path as we will see in Sec. 3.3.2.

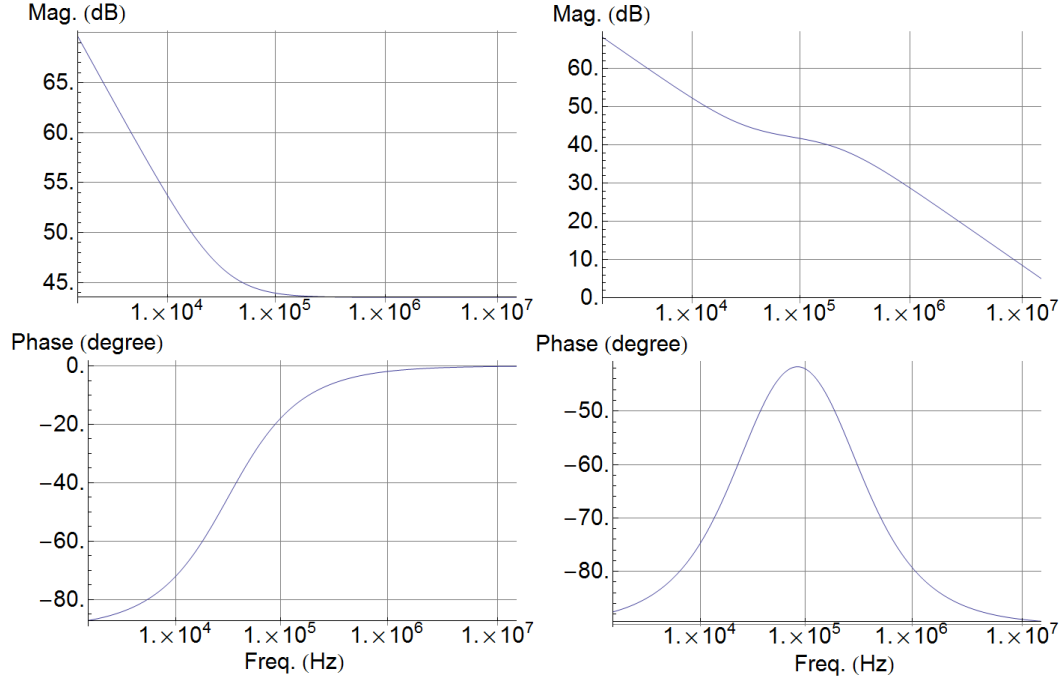


Figure 3.5: Bode plot of a second order charge-pump filter, as seen in Fig. 3.4 without  $C2^*$  (left), and of a third-order charge-pump filter with the addition of  $C2^*$  (right).

The next stages in the feedback need to transform the transfer function of the system to increase performance, as well as to translate the voltage levels for compatibility with the piezo stack and the current modulation board. The first subsystem, seen in Fig. 3.6, is built into a new board, which we shall refer to as the feedback board. A twisted pair of wires connects the output of the

charge-pump loop filter to the input “CP Vout” of the feedback processing board. Since this next stage contains no frequency-dependent components, it does not change the shape of the filter’s transfer function in the functional frequency regime of the PLL, but it does add a gain factor to the overall loop gain parameter,  $K$ , directly affecting the loop bandwidth. This is the amplifier stage.

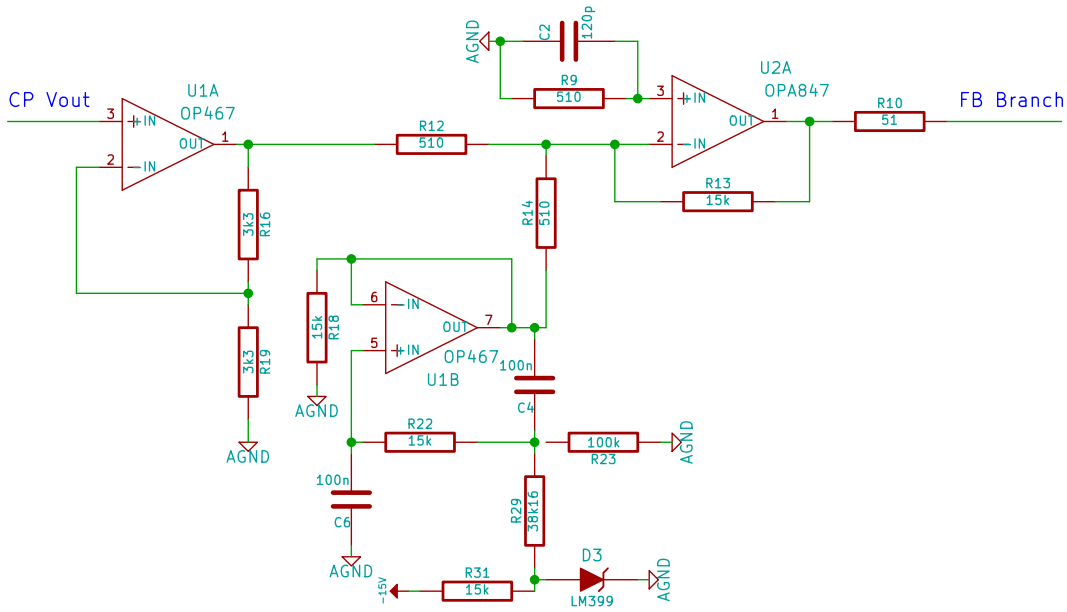


Figure 3.6: Amplifier stage of the loop filter built on the feedback processing board. Resistor R13 is varied for tuning the system to improve performance.

Following the path of the voltage signal “CP Vout” we see that it is first doubled to a range between 0-10 V by U1A, then summed to a low-pass filtered offset of  $-5$  V, inverted and amplified by 30 at U2A’s output. The error signal at the output of U2A ranges from  $-5$  V to  $5$  V, where 0 volts indicate the PFD has measured no phase difference between the oscillator inputs. This output signal, “FB Branch”, bifurcates to a current feedback path, which acts

on the current board modulation, and to a piezo feedback path, which acts on the piezo stack. Resistor R13 is made easily accessible for use in tuning of the loop filter as it directly affects the loop bandwidth.

The  $-5\text{ V}$  offset source and filtering circuit have gone through many iterations since our first design, until we ultimately decided to use a precision reference LM399's zener diode for the source and actively-low-pass filter it before summing to the main error signal. Other iterations included using a 7905 voltage regulator as source and using passive-filtering of the offset but these allowed significant introduction of noise into the feedback, degrading performance of the loop. The LM399's heater was never powered in our experiments, but it could be easily attached to the system if we wish to examine its possible benefits.

### 3.3.1 Piezo Path

As we have already discussed, the piezo path is crucial in maintaining the long-term stability of the lock by providing the OPLL with a suitable lock-capture range. The piezo path, shown in Fig. 3.7, contains one integrator, changing the type of the PLL in this path, and is important in low-pass filtering this feedback path to avoid unnecessary high-frequency oscillations. Though high-frequency signals cannot be transmitted through the piezo stack, their interaction is likely to induce noise and negatively impact the performance of the loop. Capacitor C3 is used for tuning the loop and a Bode plot of the integrator's transfer function is shown in Fig. 3.8. The voltage divider following the output of the integrator, composed of R17 and R20 are also components we vary to tune

The LEDs at the output of the integrator are great to monitor the behavior of this feedback path, an idea taken from Appel et al. [24]. They are a direct indication of the integrator’s status, which in turn tells us if “FB Branch” is positive, negative or zero.

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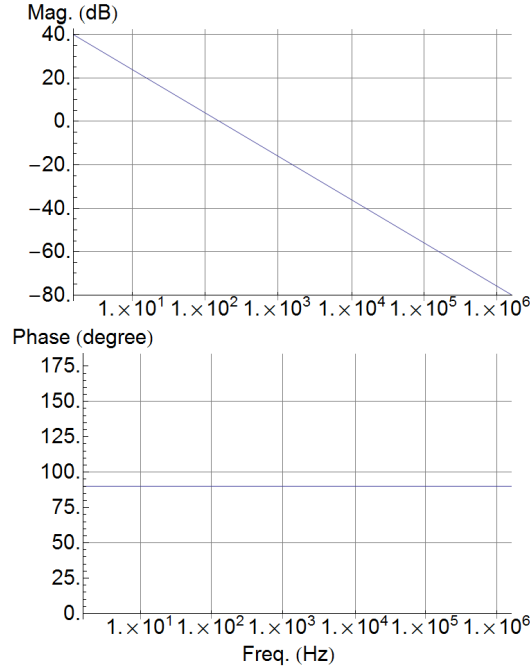


Figure 3.8: Bode plot of the transfer function of the integrator in the piezo feedback path. By changing C3 we can effectively move the zero gain crossing while maintaining the shape of the plots. Increasing the capacitance of C3 will thus yield smaller zero gain crossover frequency.

An optional inverting amplifier is placed in front of the integrator in order to turn this path into a negative feedback path, by flipping the sign of the gain of the transfer function (or, equivalently, a phase shift of  $180^\circ$ ).

### 3.3.2 Current Path

The current feedback path is kept as simple as possible, as shown in Fig. 3.9, to avoid introducing noise or distorting the high-frequency range of the signal. A phase advance filter is placed at the beginning of the path with the intention of correcting for the phase shift of the injection current due to the thermal

cutoff frequency of the laser diode, as explained in Sec. 2.3.1. This frequency is not absolute for all diode lasers, making the tuning of the phase advance filter important. The transfer function of a phase advance filter is given by [23]

$$F(s) = \frac{s + \frac{1}{R_1 C}}{s + \frac{1}{R_1 C} + \frac{1}{R_2 C}}. \quad (3.1)$$

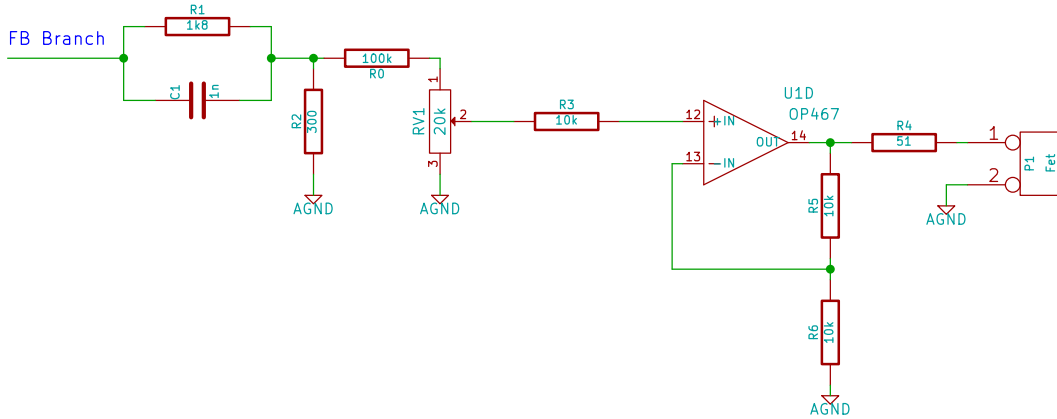


Figure 3.9: Current feedback path. Capacitor C1, and resistor R0 are varied for tuning the system to improve performance.

We varied C1 in an attempt to find the thermal cutoff frequency from a selection of 5 capacitor values: 0, 20 pF, 120 pF, 1 nF and 3.3nF. We found that a value of 1 nF gave the best performance of the loop, everything else being equal, and hence is the one we use for the characterization of the OPLL in the next chapter. Bode plots of the phase advance filter's transfer function with C1 = 1 nF and 120 pF, our initial value of C1, are shown in Fig. 3.10. Recall that the phase advance filter of the current board modulator affects this path, skewing the phase shift Bode plot until it resembles the one in Fig. 3.11. In the next chapter we will further analyze the loop performance in light of



this phase shift, among other parameters, and discuss steps for improving the current feedback path.

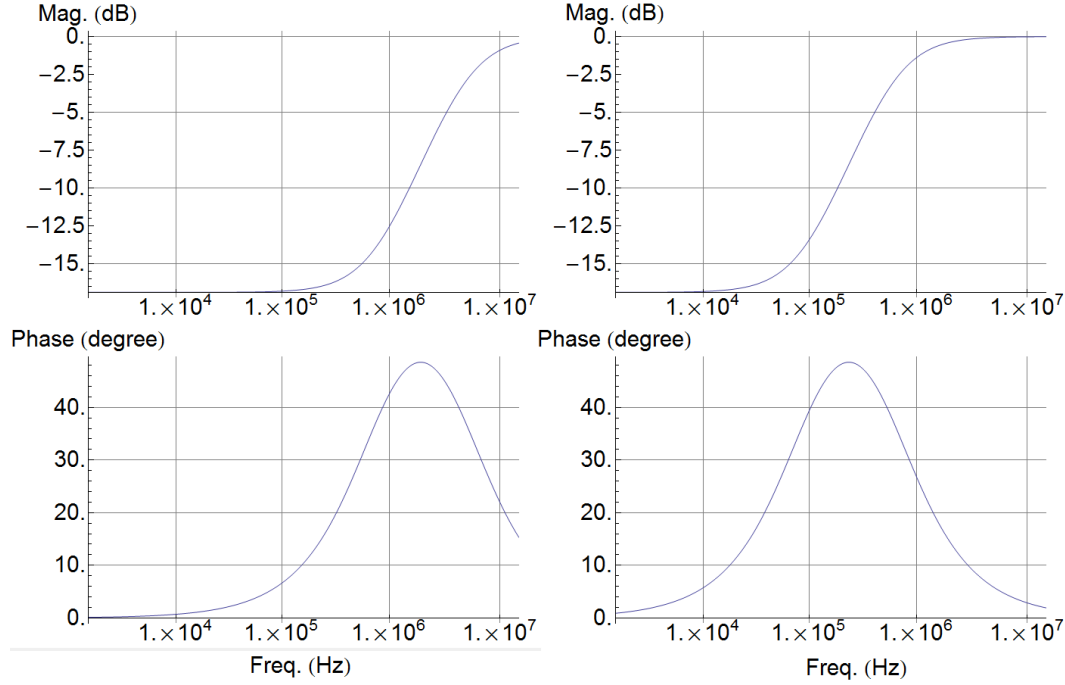


Figure 3.10: Bode plot of the transfer function of a phase advance loop filter with  $R1 = 1.8 \text{ k}\Omega$ ,  $R2 = 300 \text{ k}\Omega$  and  $C1 = 120 \text{ pF}$  (on the left) or  $1 \text{ nF}$  (on the right).

After the phase-advance filter, the signal is amplified at U1D with an adjustable gain provided by the front panel potentiometer RV1. Eventually, we wish to find the optimal gain value and replace the potentiometer by a resistor or trimpot soldered on the board so as to minimize noise in the current path.

Finally, we combine the transfer functions of all the frequency dependent elements in the current path, i.e., the third-order charge-pump filter with the phase-advance filters. The Bode plot is shown in Fig. 3.12. In the next section we propose that a lead-lag filter be used in place of the phase-advance filter to

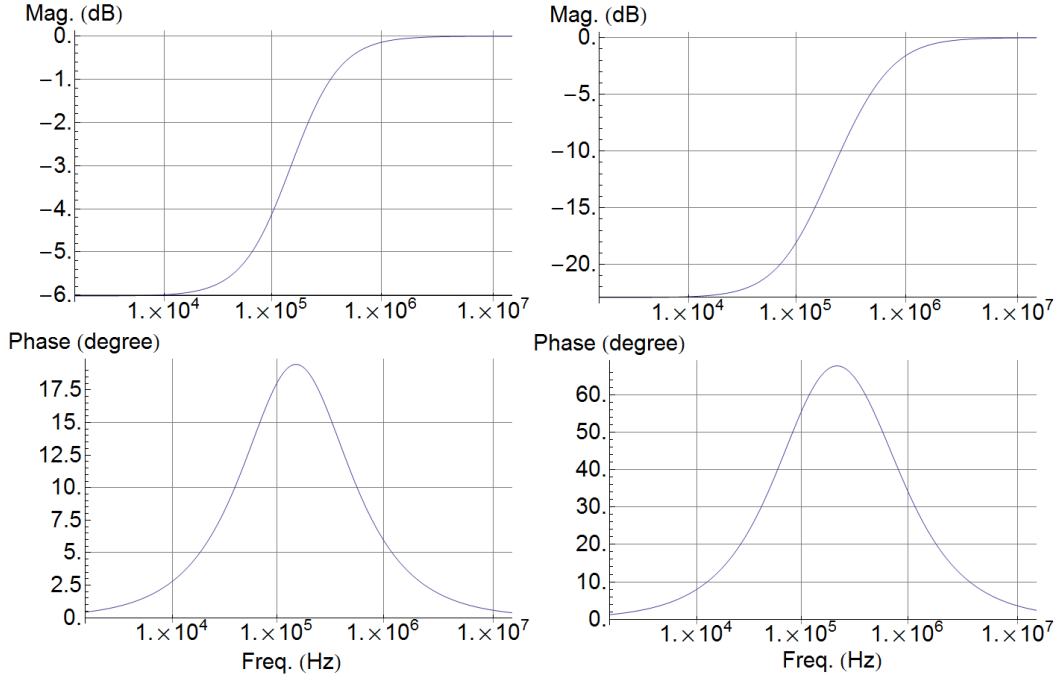


Figure 3.11: On the left, transfer function of the phase advance loop filter in the current modulation board. The filter is composed by  $R1 = 10 \text{ k}\Omega$ ,  $R2 = 10 \text{ k}\Omega$  and  $C1 = 120 \text{ pF}$  as shown in Fig. B.1. On the right, Bode plot of the combined transfer function of the two phase advance filters in this path.

better shape the overall loop filter transfer function. For reference, its transfer function is given by [23]

$$F(s) = \frac{\left(s + \frac{1}{R_1 C_1}\right) \left(s + \frac{1}{R_2 C_2}\right)}{s^2 + \left(\frac{1}{R_1 C_1} + \frac{1}{R_2 C_2} + \frac{1}{R_2 C_1}\right) s + \frac{1}{R_1 R_2 C_1 C_2}}. \quad (3.2)$$

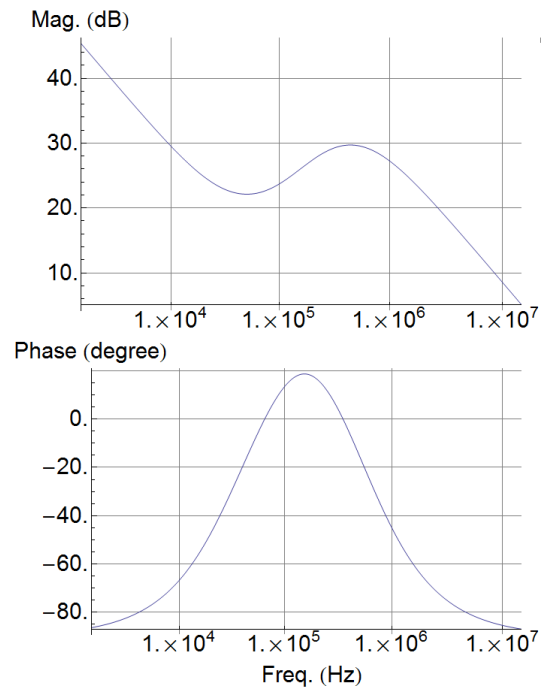


Figure 3.12: Bode plot of current feedback path without the frequency independent gains, which means the y-axes can be raised or lowered depending on gains throughout the path.

# Chapter 4

## OPLL System Performance

In this chapter we describe the various system revisions we tried, up to the final iteration described in Chapter 3. Then we characterize the performance of the final system, analyze the residual phase noise, and assess the probability of success of using the system for driving the desired Raman imaging transition. From the residual phase noise of  $\langle \Delta\phi^2 \rangle = 0.39$ , we find that 67.7% of the power is in the carrier, and thus the OPLL system as it stands would likely be able to drive the desired stimulated Raman transitions, but not optimally. Finally, we briefly discuss how to further optimize the system.

In early stages of the OPLL construction, we decided to test the performance of the lock using an electronic signal generator, SRS SG380, as VCO. The output of U1A, in Fig. 3.6, was connected to the modulation input of the signal generator through a voltage divider. We set the generator's frequency to 6.911 GHz and maximized the loop stability by adjusting the modulation gain  $K_o$ , which is related to the loop bandwidth of the PLL by Eq. 2.18. The

performance of this system is remarkable and characterized by phase noise of -100 dBc/Hz at 100 kHz offset from the carrier. Integrating the phase noise from 3 kHz to 3 MHz, we found a mean-square phase error  $\langle \Delta\phi^2 \rangle$  of 0.01 rad<sup>2</sup>. Since the generator is extremely stable, the loop is simply holding a constant error voltage signal for the modulation input. Therefore, the main noise contribution in the loop comes from the phase noise floor of the ADF4107, which is about -100.78 dBc/Hz for  $N = 96$  and  $f_o = 6.912$  GHz[28]. This system is a good example of some of the best performance one can achieve with our ADF board.

As we have seen in the previous chapter, optimal design for an OPLL system from theory alone is not practical. Instead, we designed a theoretically robust system that allows for small changes in the loop filter so as to improve the loop performance. Below we summarize the ways in which the system is tunable from what we discussed in the last chapter:

- The resistor R13, in the amplifier stage of LF, affects the gain of the summing amplifier U2A, which generates the error signal “FB Branch”;
- The capacitor C3, in the piezo feedback path, affects primarily the phase response of the transfer function of the integrator in this path;
- The resistors R17 and R20, at the output of the piezo integrator, form a voltage divider that affects the gain in the piezo feedback path;
- The capacitor C1, in the current feedback path, affects primarily the corner frequency of the transfer function of the phase advance filter;
- The resistor R0, in the current feedback path, affects the loop gain in this path.

Along with these components, we found necessary to modify the  $-5$  V offset source and filtering circuit in the LF amplifier stage, as discussed in Sec. 3.3. Front panel potentiometers RV1 and RV3 provide some control of the loop gain in the current feedback path and piezo feedback path respectively.

For all system performance characterizations that follow, we couple  $\approx 1$  mW of beam power from each of the master and slave lasers into the photodiode input fiber, and we set the front panel potentiometers to values that yield the best loop performance achievable for each iteration of the system. The resolution bandwidth of the spectrum analyzer is set for 3 kHz and video resolution bandwidth is set to 30 kHz for all of the power spectrum plots.

Initially, we start with tunable components similar to those in Appel et al. [24]:

- $R13 = 150 \text{ k}\Omega$ ;
- $C3 = 500 \text{ nF}$ ;
- $R17 = 100 \text{ }\Omega$  and  $R20 = 200 \text{ }\Omega$ ;
- $C1 = 120 \text{ pF}$ ;
- $R0 = 0 \text{ }\Omega$
- $-5$  V offset from LM7905 with  $33 \text{ }\mu\text{F}$  bypass capacitor.

We quickly learned that the loop gain of the PLL is too large, and the only useful feedback works only poorly in the current path. We decrease the value of the loop gains and immediately notice improvement in the loop performance. From this point forward, we modify the tunable parameters such that each iteration of the system is assigned a revision letter. We describe these revisions in the paragraphs below. Table 4 summarizes the differences between

iterations.

trial	initial	A	B	C	E	F
R13	150 k $\Omega$	512 $\Omega$	15 k $\Omega$	15 k $\Omega$	15 k $\Omega$	15 k $\Omega$
C3	500 nF	500 nF	500 nF	500 nF	10 nF	10 nF
R17	100 $\Omega$	15 k $\Omega$	15 k $\Omega$	15 k $\Omega$	15 k $\Omega$	15 k $\Omega$
R20	200 $\Omega$	1.5 k $\Omega$	1.5 k $\Omega$	1.5 k $\Omega$	1.5 k $\Omega$	1.5 k $\Omega$
C1	120 pF	120 pF	120 pF	120 pF	120 pF	1 nF
R0	0 $\Omega$	100 k $\Omega$	100 k $\Omega$	100 k $\Omega$	100 k $\Omega$	100 k $\Omega$
Offset	LM7905	LM7905	LM7905	Zener	Zener	Zener

Table 4.1: Modification of the tunable components for each revision.

Revision A of the system involved a change of R13 to 512  $\Omega$  for a gain of 1 at U2A, R17 to 15 k $\Omega$ , R20 to 1.5 k $\Omega$  and R0 to 100 k $\Omega$ . The beat signal power spectrum in a 1 MHz span for revision A of the system is shown in Fig. 4.1. The current loop bandwidth at  $\approx 50$  kHz is clearly visible because of the gain peaking phenomenon described in Sec. 2.1. Since the loop bandwidth is small, it is not surprising that the OPLL cannot suppress phase noise efficiently, as evidenced by the amount of power in the sidebands of the carrier frequency. Increasing the current gain potentiometer did not allow us to increase the loop bandwidth before the feedback started oscillating. Examining the Bode plot of the current feedback phase advance filter in Fig. 3.10, we can infer that by increasing the loop gain, we are essentially decreasing the gain crossover frequency, which in turn drastically reduces phase margin if there is a considerable phase shift in this path at frequencies below 1 MHz.

In order to increase the loop bandwidth without driving the OPLL into oscillation, we increased the gain in the amplifier stage to 15 by setting R13 to 15 k $\Omega$  for revision B of the system. From the top plot in Fig. 4.1, a higher

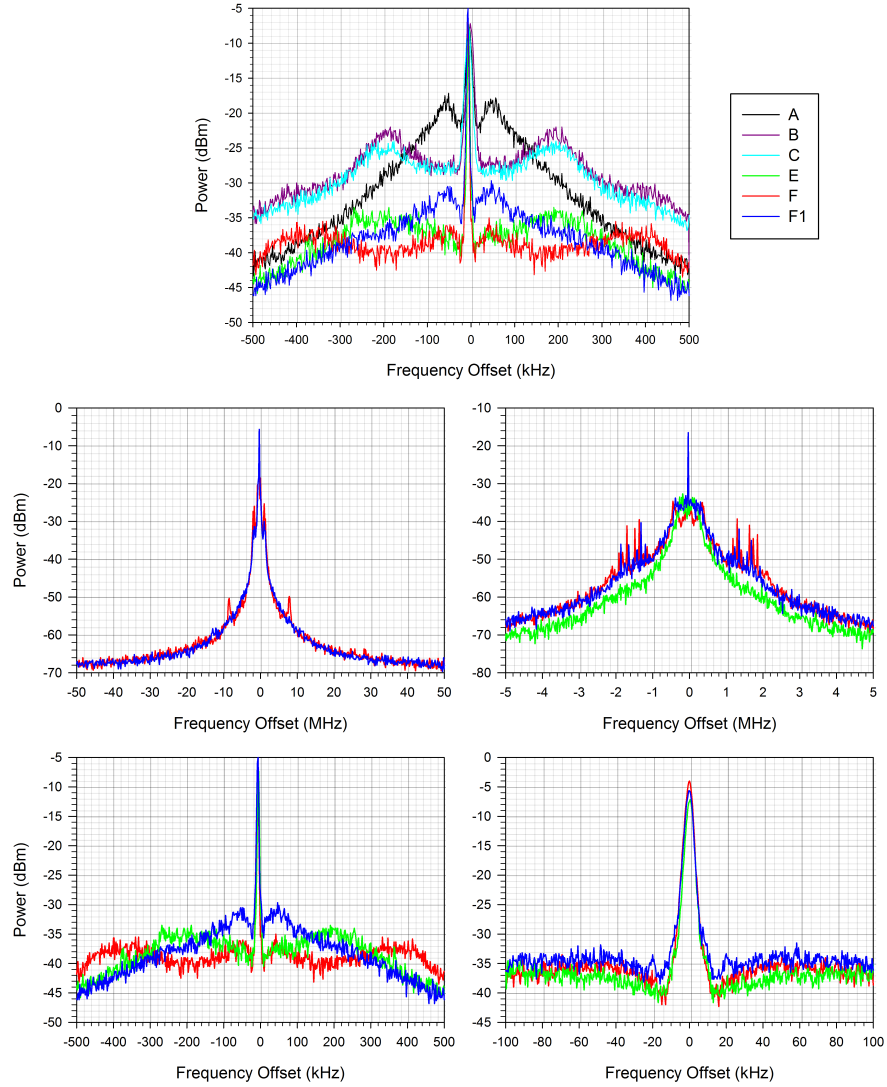


Figure 4.1: Plot of power spectra of different board revisions. The top plot is for the comparison of the spectra between all the revisions described. The middle plots show the power spectra in a span of 100 MHz (left) and 10 MHz (right). The bottom plots show the power spectra in a span of 1 MHz (left) and 200 kHz (right). All plots show the average of 40 traces, except for the 10 MHz traces which shows the average of 25 traces. Revision E is omitted in the middle-left plot due to lack of data.



loop bandwidth is now achievable, which increased the performance of the loop. At this point however, we noticed the system was highly susceptible to noise, causing the loop to drift beyond capture range and unlock easily. Many sources of electronic noise are contributing to the degraded performance of the OPLL, but we chose to focus on the  $-5$  V offset as noise introduced in this section will be amplified more than any other noise in the feedback board. Consequently, we changed the reference source to the zener diode of an LM399 precision reference. This improved the long-term stability of the loop and even resulted in a slight improvement in the short-term stability in Fig. 4.1.

Since the system in revision C was still underperforming even within offset frequencies of a few hundred kilohertz, it was clear that the piezo feedback loop, which is most responsible for low frequencies, was not successfully correcting for phase noise within its anticipated bandwidth. After a review of the transfer function of the integrator in the piezo feedback path, Fig. 3.8, we hypothesized that the performance in this path was being limited by the capacitor C3 and an increase in its value would in turn increase the phase margin of the loop and allow for better response in this path. Hence, for revision E we increased C3 to 10 nF. The results are appreciable as shown in Fig. 4.1, where with the same current loop bandwidth a much smaller noise floor is observed within the couple hundred of kilohertz from the carrier frequency.

Note how the maximum current loop bandwidth did not change in this revision, since we only modified the piezo feedback path. For better performance overall however, we must increase the current loop bandwidth, and it is clear from our study of the current feedback path, in Sec. 3.3.2, that the phase shift

of the current modulation is preventing an increase of the loop bandwidth, since it decreases the gain margin on this path, and restricts the current feedback from correcting phase noise at frequencies close to a hundred kilohertz. For this reason, we attempt to modify the value of capacitor C1 as to tune the transfer function of the filter to correct for the current modulation phase shift. As we discussed in Sec. 3.3.2, we find the most suitable capacitance at this point to be 1 nF. A considerable improvement can be seen for offset frequencies below 400 kHz, but a slight decline in performance is observed for higher offset frequencies. Overall, revision F is still an improvement over revision E as the power in the sidebands is significantly smaller. On the bottom-right panel of Fig. 4.1, which spans only 200 kHz, the advantage of revision F is seen as the carrier frequency contains a larger fraction of the total beat signal power.

Revision F is our final revision of the OPLL system as described in the previous chapter. We also plot the revision F system with a smaller current bandwidth in Fig. 4.1 for comparison, referred to as F1. This is clearly not the best configuration for the system, but it does confirm our basic understanding of the OPLL loop bandwidth and phase noise, i.e., noise outside the loop bandwidth is not affected by the loop as illustrated in Fig. 2.5.

In order to characterize fully the final system's stability, from which we can make reasonable speculations about the success of using the system for the Raman imaging transitions, we acquire the single-sideband (SSB) phase noise spectra of the OPLL, shown in Fig. 4.2. We also plot the SSB phase noise of the reference oscillator at 216 MHz in Fig. 4.3. These spectra are acquired by the HP spectrum analyzer's phase noise utility, which uses internal calibration

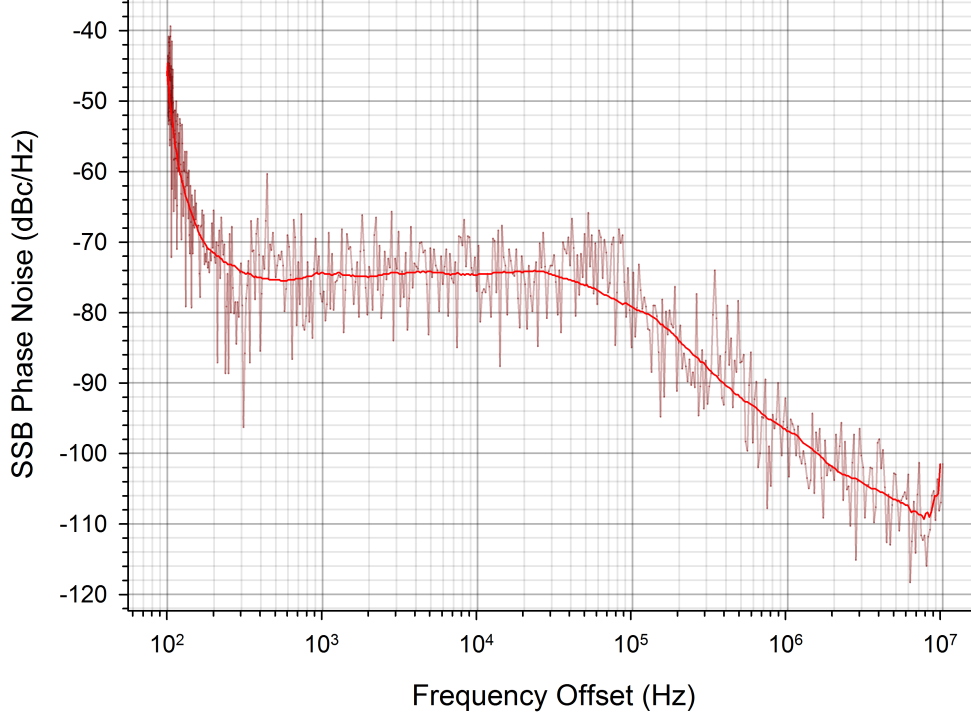


Figure 4.2: Phase noise spectra of the OPLL. Three distinct regions with different slopes for the phase noise can be observed. We are mostly concerned with the plateau region as it shows the loop needs improvement.

data to correct for error related to the detector's voltage envelope and the shape of bandwidth filter [29].

From the plot we see that flicker noise, with slope  $1/f_o$ , dominates offset frequencies above 100 kHz as expected from semiconductors in the board [30]. Between 100 Hz and 10 kHz, the phase noise response is flat however, indicating that either the current feedback path or the piezo feedback path is not thoroughly correcting for phase error between these frequencies. A quick glance at the Bode plot for the phase advance loop filter in the current feed-

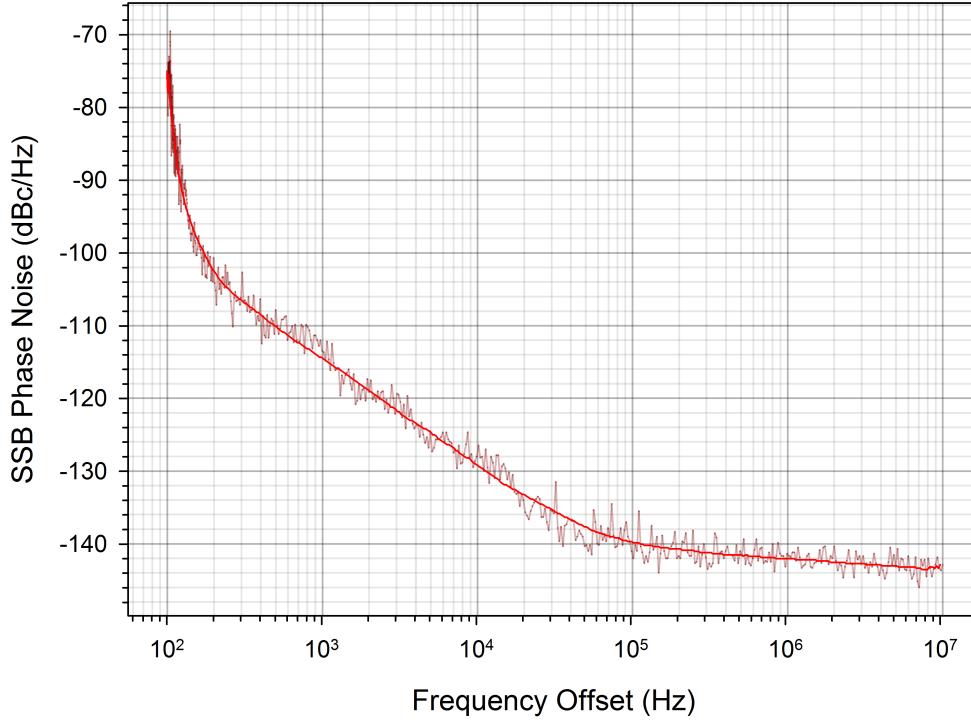


Figure 4.3: Phase noise spectra of the reference.

back path, Fig. 3.10, shows that the gain sharply decreases for frequencies below 1 MHz. We conclude that the current feedback loop must be further optimized so as to correct for frequency perturbations at tens of kilohertz from the carrier. If the gain of the path is such that the magnitude between 10 kHz and 100 kHz of the overall current loop filter, shown in Fig. 3.12, is fully/partly below zero, it is clear that the loop would have a problem minimizing phase noise at these frequencies. This issue be avoided by modifying the resistance in the phase-advance filter, effectively smoothing the bump seen at  $\approx 3$  MHz.

Another potential solution is to use a lead-lag filter, Bode plot shown in

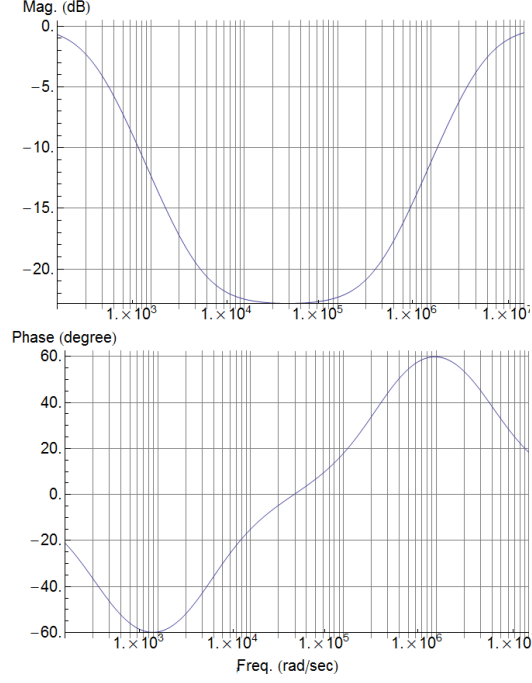


Figure 4.4: Bode plot of a possible lead-lag filter as given by Eq. 3.2 using  $R1 = 3.8 \text{ k}\Omega$ ,  $R2 = 300$ ,  $C1 = 100 \text{ pF}$  and  $C2 = 100 \text{ nF}$ . Notice how the gain is similar to the phase-advance filter, except that it provides the same gain as high-frequencies in the low-frequency range.

Fig. 4.4, as it might provide a way to both correct for the current modulation phase shift as well as allow for higher gain in the 100 Hz to 10 kHz frequency regime. Substituting this filter in the overall current loop filter from Fig. 3.12, we arrive at the Bode plot shown in Fig. 4.5. Note that in this case a  $180^\circ$  phase shift of the current feedback might be necessary from the one in our current system, but the response might perfectly fit the laser's thermal cutoff phase shift flip if the system is properly tuned.

Finally, integrating the spectra from 100 Hz to 10 MHz, we find  $\langle \Delta\phi^2 \rangle = 0.39 \text{ rad}^2$  for the system and  $\langle \Delta\phi^2 \rangle = 0.00014 \text{ rad}^2$  for the reference. We need

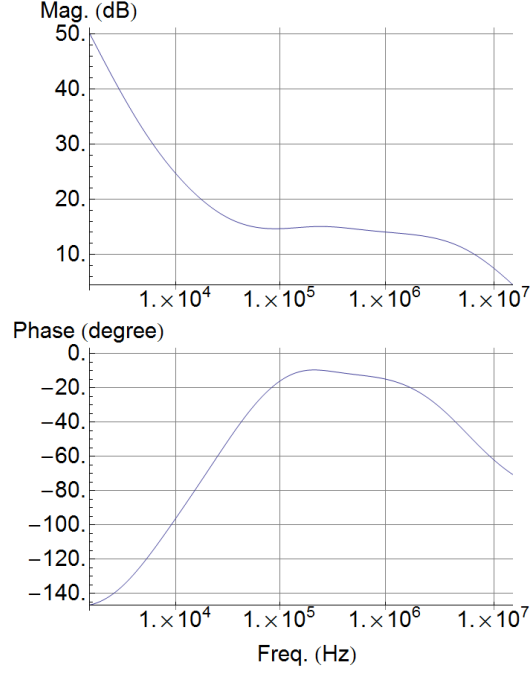


Figure 4.5: Bode plot of current feedback path with lead-lag filter from Fig. 4.4. The frequency independent gains are not combined in the plot, which means the y-axes can be raised or lowered depending on gains throughout the path.

to set a different range of integration in order to compare our square-mean phase error to the systems in Appel et al. [24], where they acquire the square-mean phase noise from a single 10 MHz span power spectrum of the beat note. Since their resolution bandwidth is 3 kHz, their RMS phase noise calculation will not include offset frequencies below 3 kHz. For the range between 3 kHz and 3 MHz, we find  $\langle \Delta\phi^2 \rangle = 0.155236 \text{ rad}^2$  which is comparable to their systems [24].

Since square-mean phase noise is related to the main power by [24]

$$\exp(-\langle \Delta\phi^2 \rangle) = \frac{P_{\text{carrier}}}{\int_{-\infty}^{\infty} P(\nu) d\nu}, \quad (4.1)$$

and inputting the square-mean phase noise, we find that for the worst scenario we will have at least 67.7 % of power at the carrier frequency, suggesting that even at this stage we should be able to drive the Raman transitions with the OPLL. Improvement of the loop should still be possible as discussed in this section, and therefore better tuning of the current feedback path is advisable, either through changing resistance of phase-advance filter, replacing the phase-advance filter with a lead-lag filter and tuning it properly, or changing the initial charge-pump loop filter to a second-order filter. Finally, even after the changes to the  $-5$  V offset source, the OPLL system seems susceptible to noise and a thorough investigation of the feedback board might prove useful.

## Chapter 5

# Bragg-Raman Ultimate Laser System

The OPLL is a small subset of a larger laser system dedicated to drive Bragg and Raman transitions in  $^{87}\text{Rb}$  BECs. Fig. 5.1 shows a diagram of the major system, excluding the optics.

As we briefly mentioned in chapter 3, the current controller we use is a low noise controller with large bandwidth, and it allows for digital programming of the current using the Serial Peripheral Interface (SPI) protocol [31]. By placing the controller close to the laser, we are minimizing the length of the cable powering the diode and reducing susceptibility to noise. The current controller box is small enough and can be conveniently placed on top of the ECDL machined from the blueprints of Cook et al. [26]. Since the box is digitally programmed, we minimize high-frequency noise in the injection current that can increase phase noise in the laser beam.



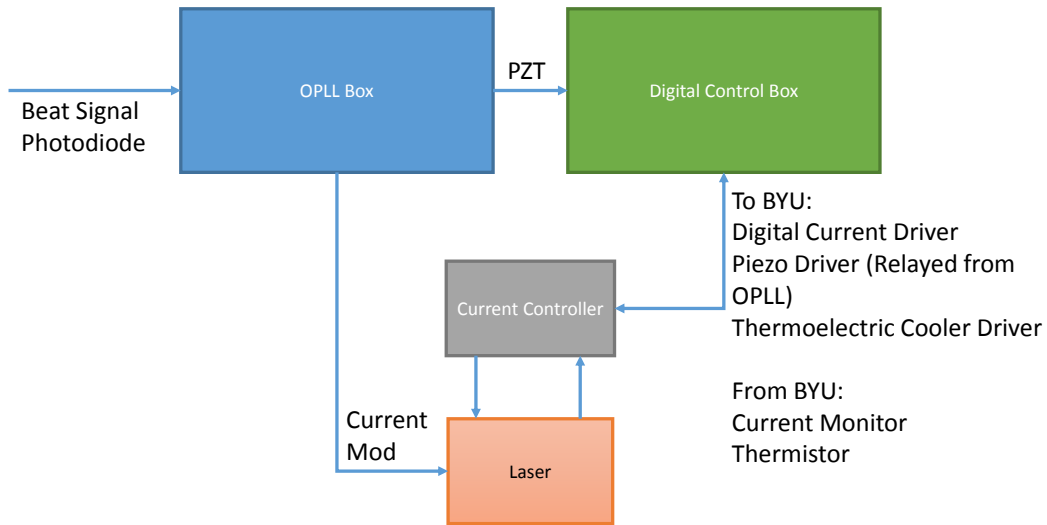


Figure 5.1: Diagram of the Bragg-Raman laser system, divided into 4 essential elements for each laser. The optics of the system are not shown.

A digital control box will contain the standard controls for our laser, which includes temperature stabilization and digital current control. For the SPI programming of the current controller box, we designed a microcontroller-based interface that will be housed in the digital control box, see Appendix C.

Our OPLL box contains not only the control for the phase lock loop but also a ramp generator to be used with the piezo when needed for scanning over frequencies. A 10 Hz triangular wave is used for the ramp and it can be toggled and adjusted using the same front panel controls as the OPLL. This ramp is important when we want to make use of the spectroscopy techniques. Fig. 5.2 shows the layout of the physical OPLL box, which is currently in service.

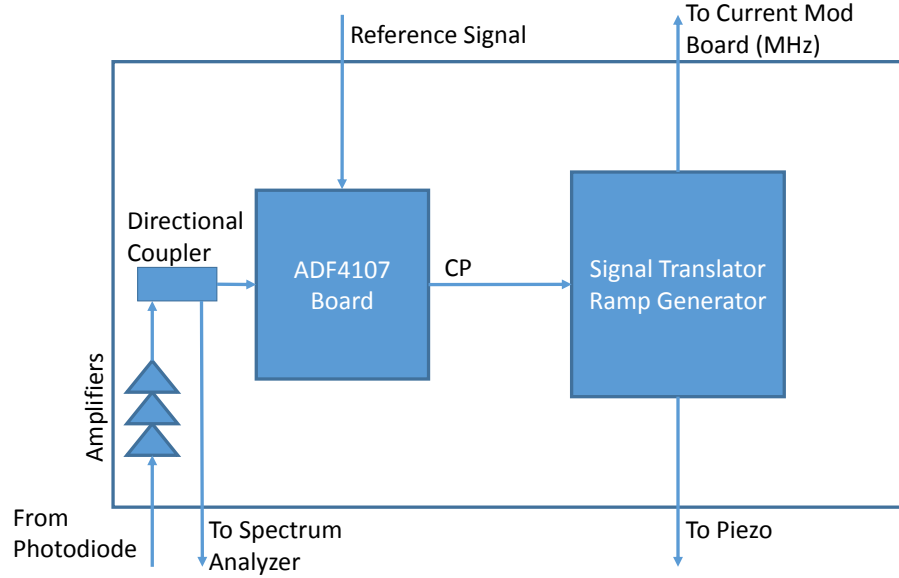


Figure 5.2: Diagram of the OPLL box. This is the same box shown in Chapter 3 and we include a ramp generator on the feedback board as well as the power supply for the ADF board, amplifiers and photodiode.

## 5.1 Optical Modules

We decided on a modular setup for the optical configuration. This allows us to set up isolated portions of the optics connected through optical fibers. This setup has the great advantage provided by any modular system wherein we can modify a module without concern for the other modules of the system. However, due to the power loss from coupling light into fibers, the setup cannot contain be divided into an arbitrary number of modules linked by fibers. We believe that in an optimization stage on the optics table we can bring the coupling percentage to 80%, a reasonable value for fiber coupling. We therefore divide our setup into three modules.

The master and slave module is shown in Fig. 5.3. Part of the laser beam

is coupled to a fiber to go to the Bragg-Raman module and another part is used for saturated absorption spectroscopy. In the OPLL performance characterizations we did in Chapter 4, the master laser was always locked to a transition in the Rb atom.

The polarizing beam splitter cube (PBSC), is similar to the BSC discussed in Sec. 2.8, except that the percentage of beam power that goes through or reflects at a right angle depends on the linear polarization of the beam, which we control with a half-wave plate (HWP). This allows us to control the beam power allocated for the saturated absorption spectroscopy and for coupling into the fiber.

The Bragg-Raman module is the intermediary module receiving the master and slave beams, preparing them for Bragg-Raman experiments, and taking them to the condensate, as shown in Figs. 5.4 and 5.5. The top figure shows the OPLL part of the setup, containing the Fabry-Perot and the photodiode fiber coupler we discussed in Chapter 3. Here a half-wave plate allows control over the beam power going to this section or to the output of this module. The bottom figure shows each laser passing through an acousto-optic modulator (AOM) before coupling into a fiber that will take it to the condensate. The AOM will be necessary to set the detuning for the transition as discussed by Thomas [9] and also to bring the beams to the exact frequency difference necessary even though we will phase lock to 6.912 GHz. A removable mirror allows different configurations of beams to enter the fibers on their way to the condensate. The path shown is the one necessary for the desired Raman transition.

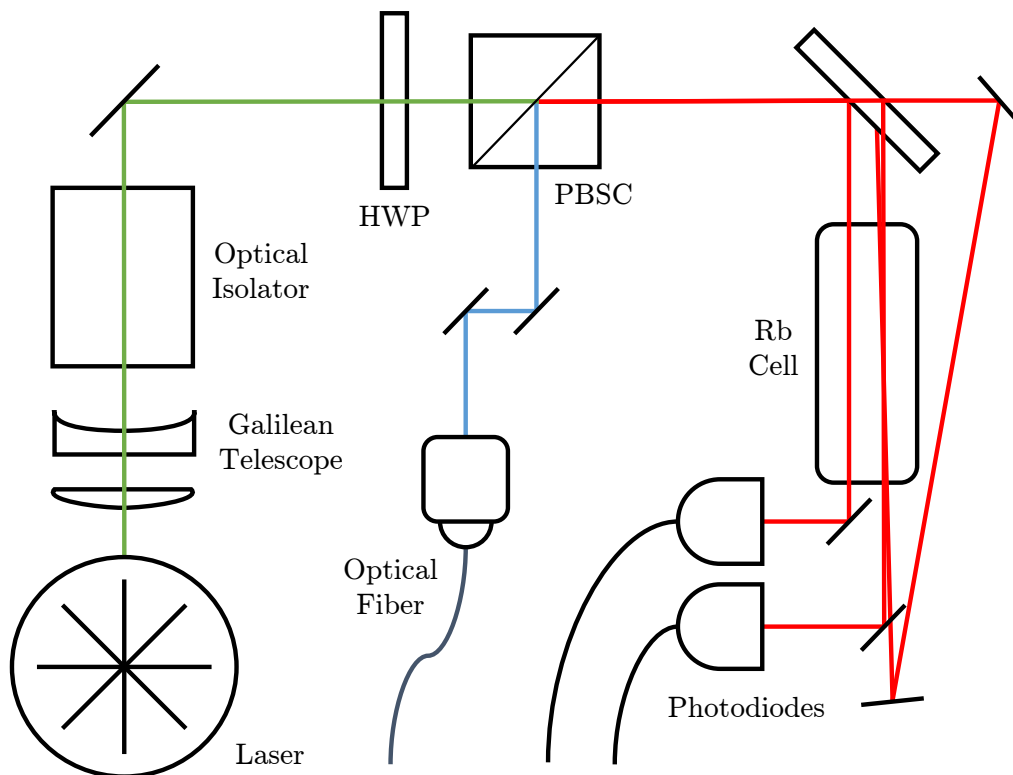


Figure 5.3: Diagram of the master/slave module. The laser beam is split at the PBSC, with part going to the saturated absorption spectroscopy and part coupling to the fiber output of the module. By adjusting HWP, we can vary the ratio of the beam power splittings at PBSC.

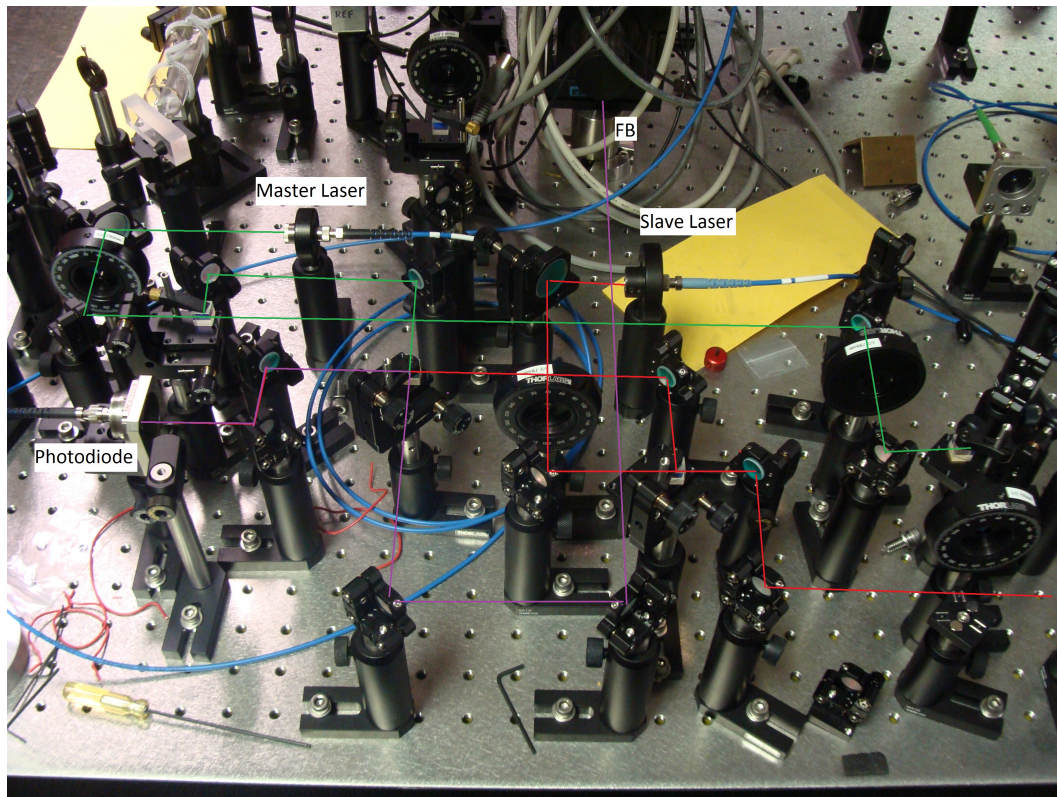


Figure 5.4: Picture of the Bragg-Raman module, showing mostly the OPLL section of the optics.

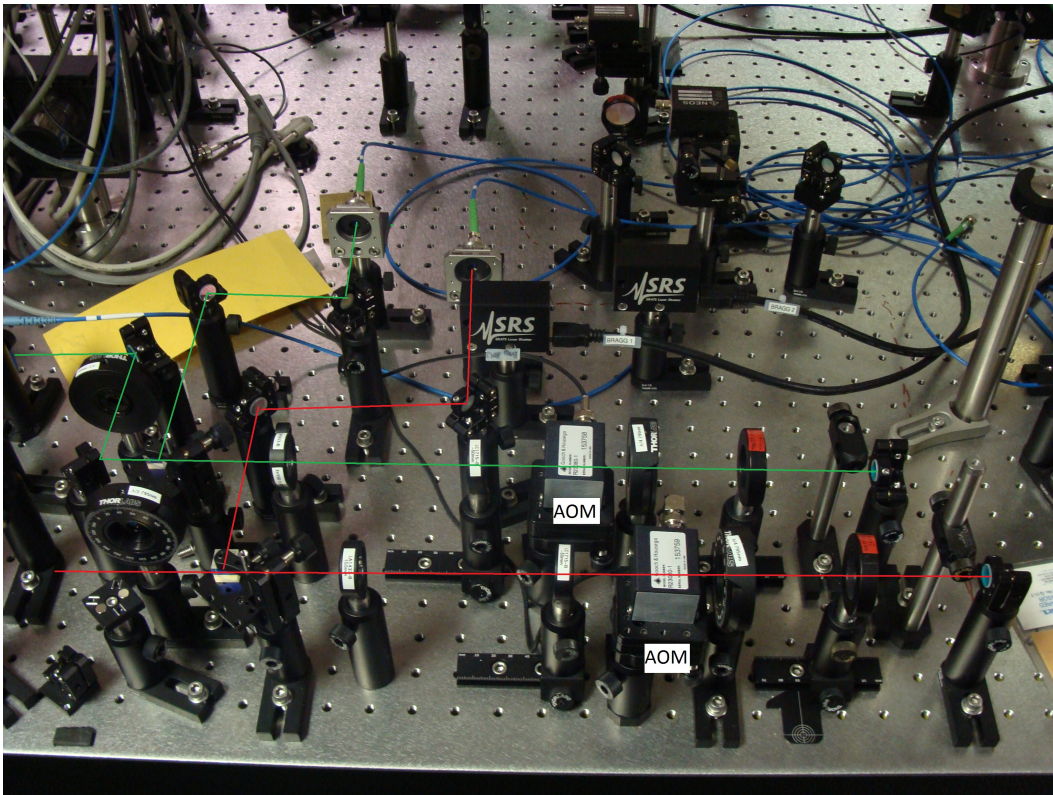


Figure 5.5: Picture of the Bragg-Raman module, showing the beams passing through AOMs and coupling into fibers that will the beams to the condensate.

# Chapter 6

## Conclusion

Although the Bragg-Raman transition system has not yet been fully implemented, we have made major contributions to its development. After choosing to implement an OPLL synthesizer for an optical trap partial-transfer imaging system, we developed the fundamental theory behind OPLLs and discussed the challenges that surround its design.

We then designed and constructed the OPLL, which in its final revision has a mean-square phase error of  $\langle \Delta\phi^2 \rangle = 0.39$ . From this, we find that 67.7% of the beat note's power is in the carrier frequency, and thus the OPLL system is likely be able to drive the desired stimulated Raman transitions. Finally we briefly discussed how to further improve the system.

We recommended that the OPLL system be further improved before implementation since the overall system will greatly benefit from a more stable loop. It is likely that spontaneous emission would be increased as a major result of the phase noise when driving the target imaging transition, since either:

the beams can be too unstable to drive enough transitions for a short pulse of beams, thus requiring longer pulses or more power [9]; or the beams could be unstable enough to drive unwanted transitions of the condensate.

Finally, we were able to picture the Bragg-Raman major system, design and construct the OPLL box as described in chapter 5 and partially design the other boxes of the system. We have written a program for a microcontroller to take inputs from a rotary encoder, program the current controller board and display to the user the current set in the current controller. The next step is to continue building the digital control box, improve the OPLL's performance, bring the laser beams from the Bragg-Raman module to the BEC cell and attempt to drive the desired Raman transitions.



# Appendix A

## OPLL System Schematics

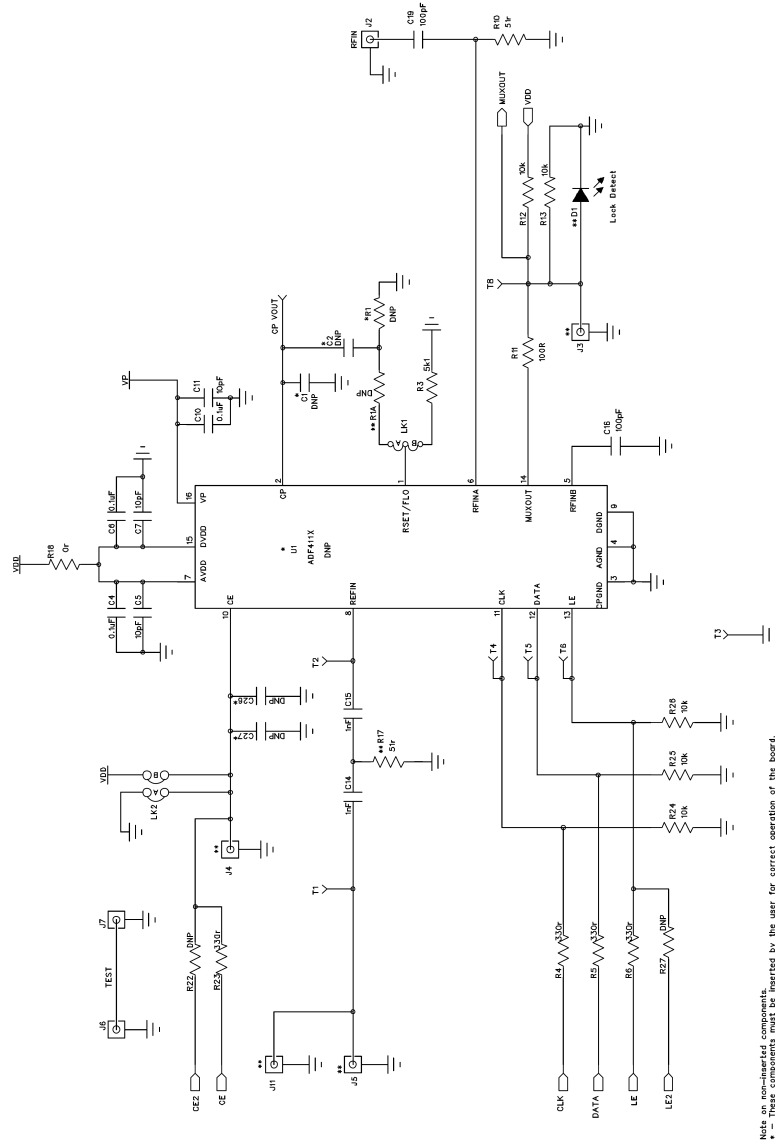
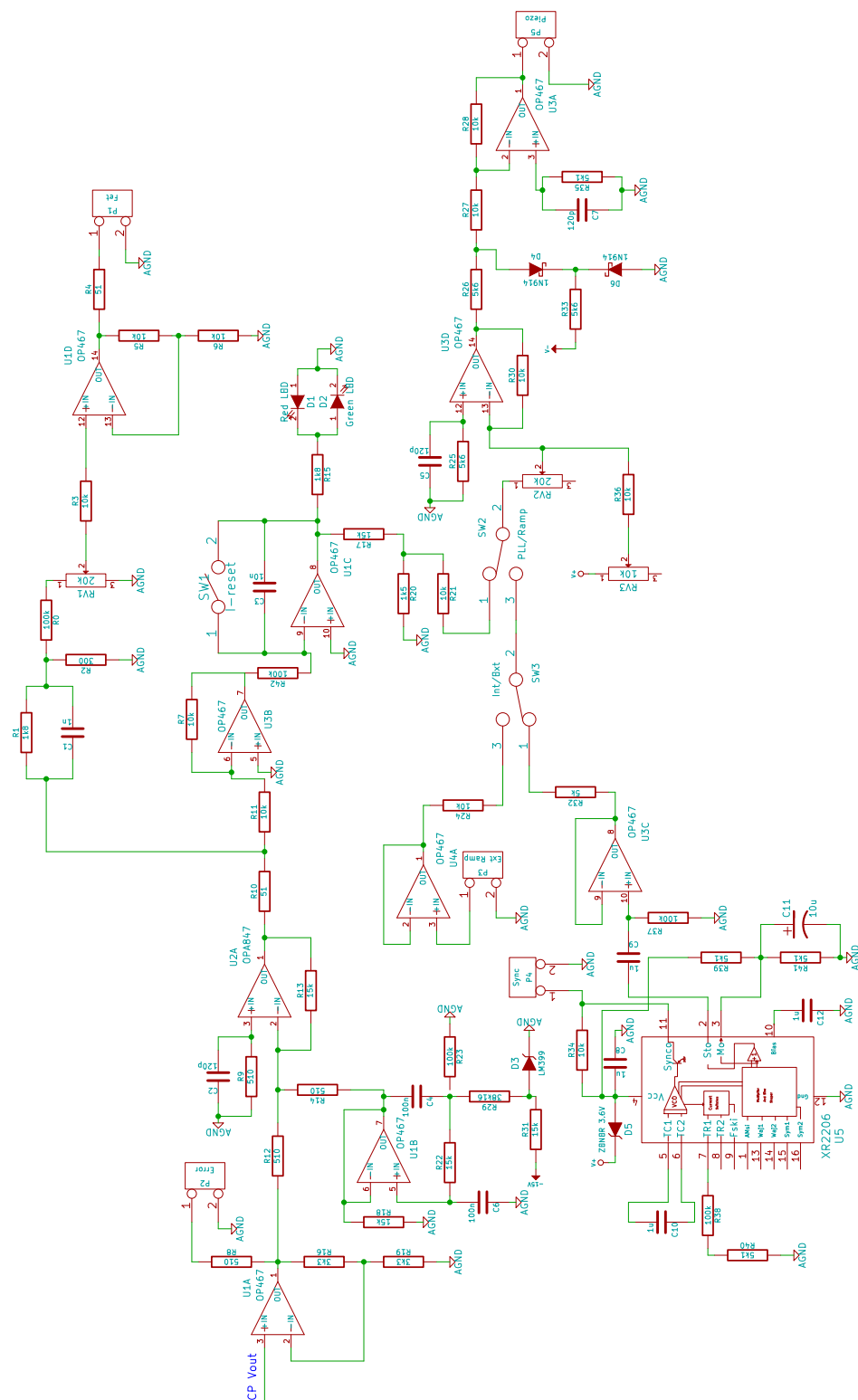


Figure A.1: Simplified schematic of the Analog Devices evaluation board adapted for use in our OPLL system [32]. For the reference input, we remove the  $0\ \Omega$  bridges connecting the internal reference, R16, and the ADF's ref pin and also remove power to the reference, R14. To use the RFin port, we replace R8, R9 and C17 with  $0\ \Omega$  bridges and remove the link R7.



## Appendix B

### Current Modulation

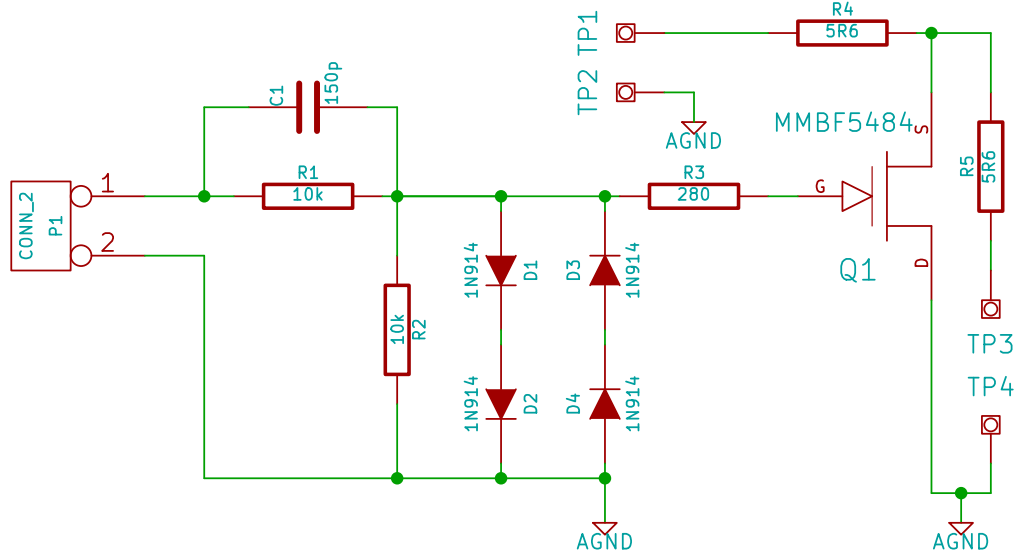


Figure B.1: Schematic of the current modulation board. The laser diode is connected at TP3 and TP4 and the current controller at TP1 and TP2.

The current modulation board was adapted from Appel et al [24] and [33]. Through a modulation voltage at the input, we can control the current flowing through the n-channel FET, allowing it to source or sink current from the laser

diode. Note that drain and source are interchangeable for this FET, allowing our design to work with diodes of both orientations (anode and cathode).

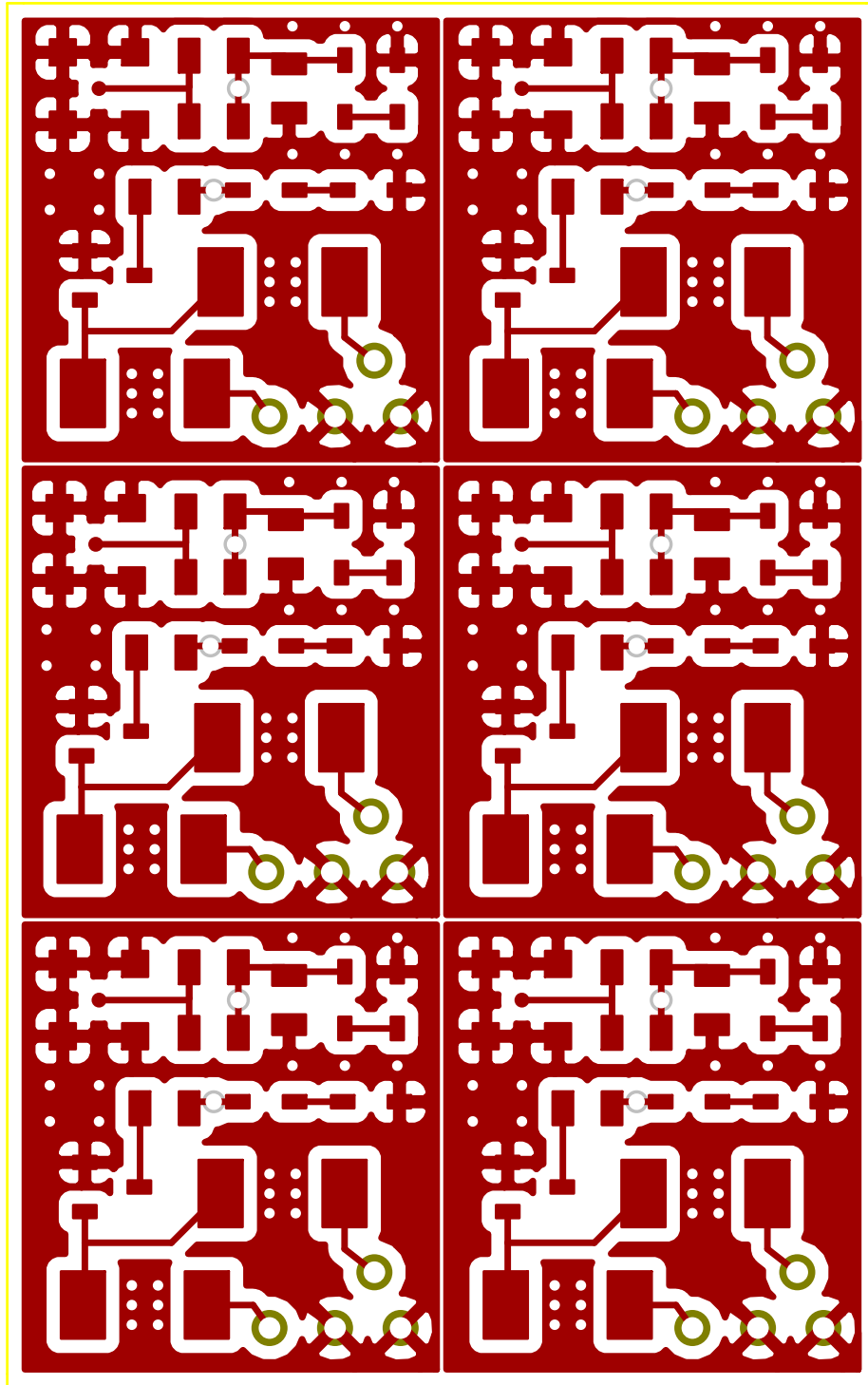


Figure B.2: Front copper layer of the board sent for manufacturing.

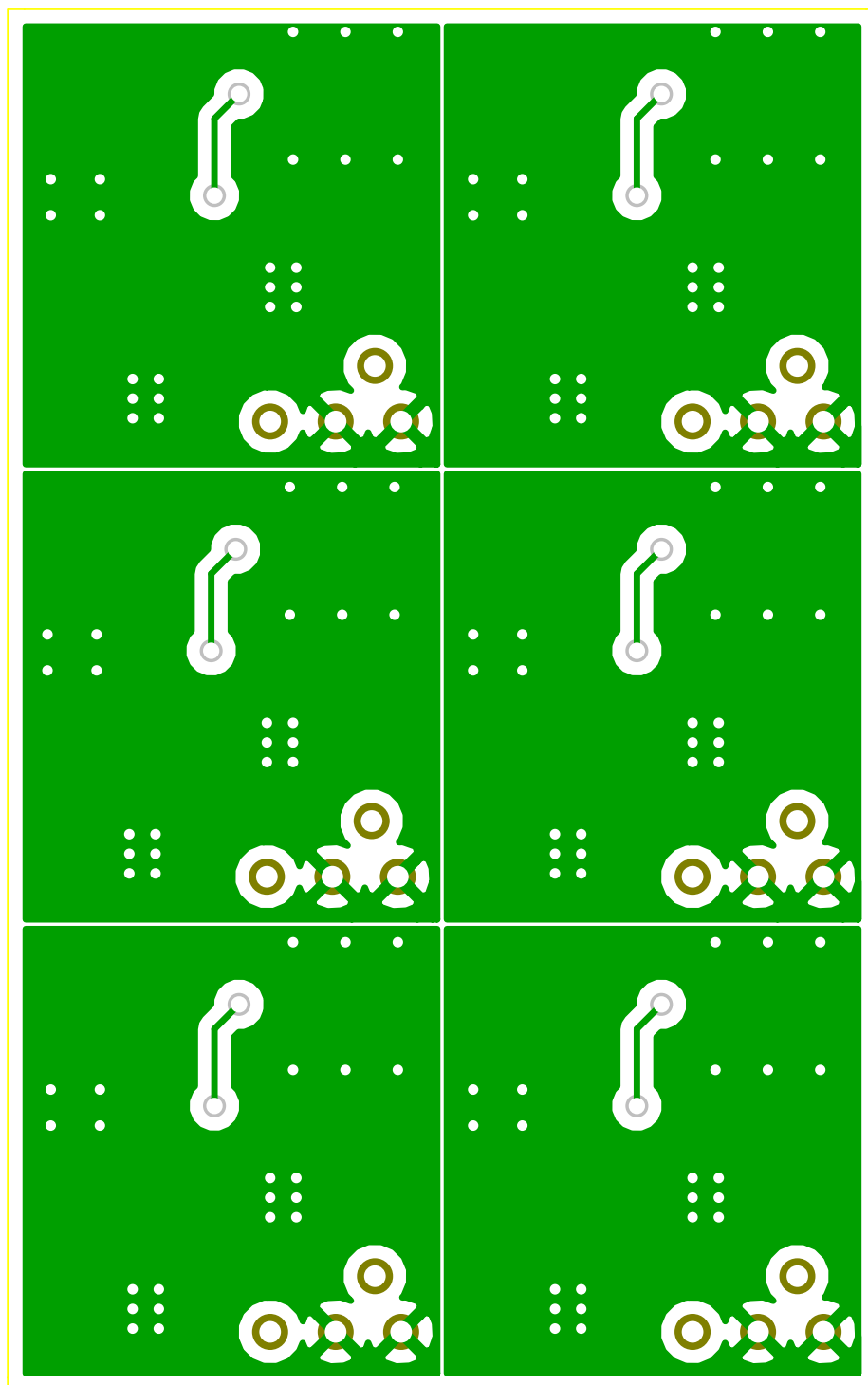


Figure B.3: Back copper layer of the board sent for manufacturing.

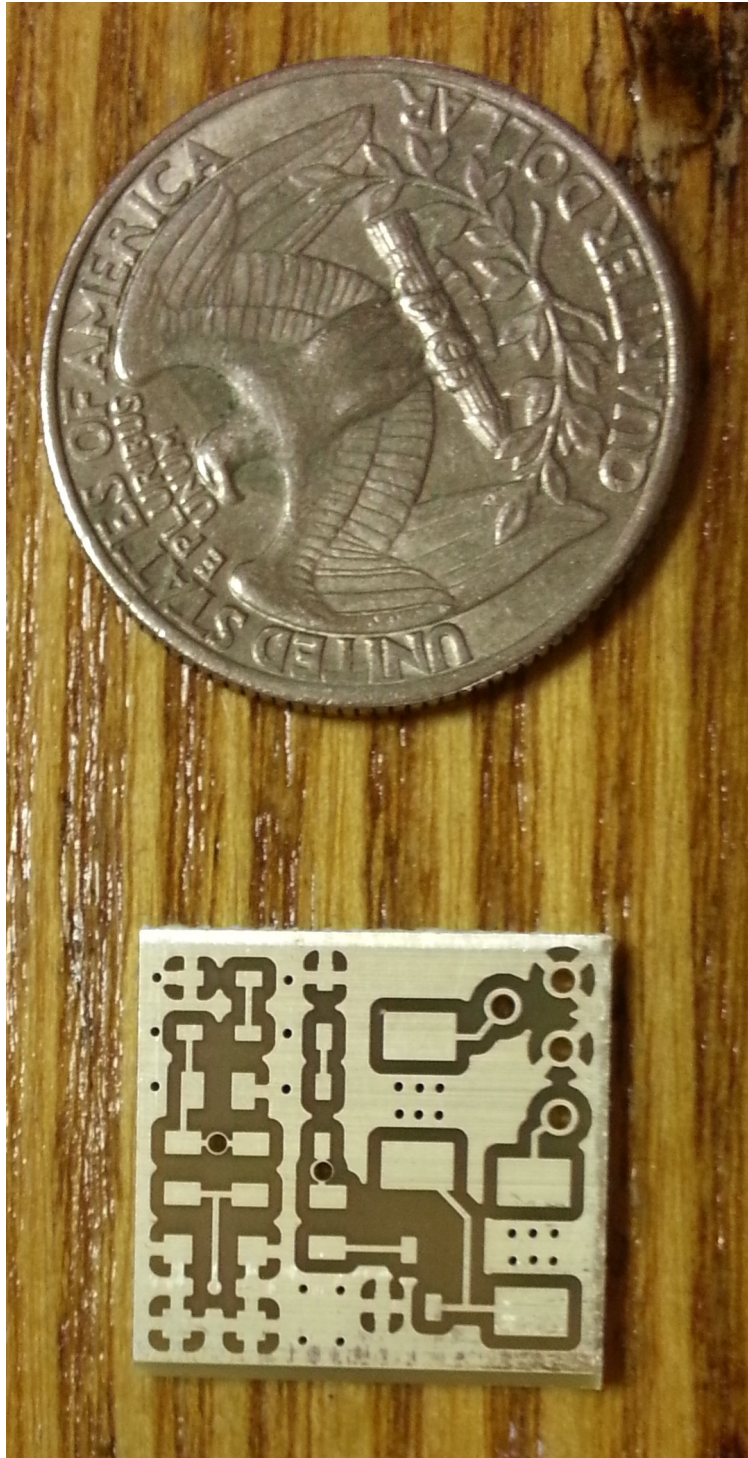


Figure B.4: An unpopulated board next to a U.S. quarter dollar coin.



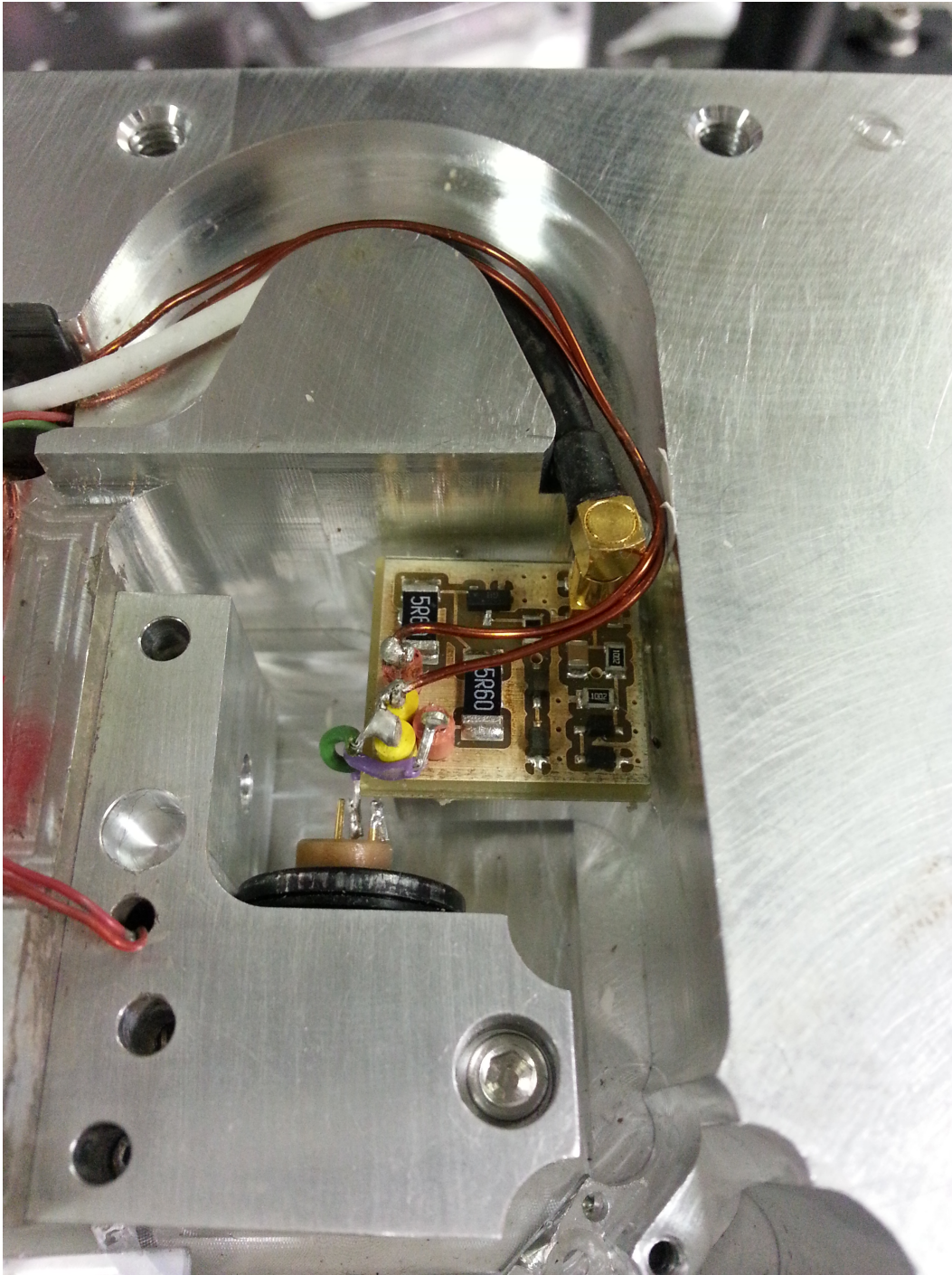


Figure B.5: The current modulation board connected to the laser diode and sitting on a shelf inside the slave laser's cavity. The board's bottom and sides are covered with hot-melt adhesive to insulate the solder joints from the aluminum cavity and to provide stability

# Appendix C

## Digital Control

We designed a microcontroller-based solution for the programming of the current controller board, see Sec. 5. An ATmega328 microcontroller was used for this board. The rotary encoder is attached to an external interrupt of the microcontroller, allowing it to probe PB6 right away to check if the rotation was clockwise or counterclockwise. A similar concept is used for reading out the buttons. Each button press generates a hardware interrupt, which is when the microcontroller reads the status of the buttons to discover which one was pressed. An LED display provides messages to the user through a Universal Asynchronous Receiver/Transmitter (UART) protocol.

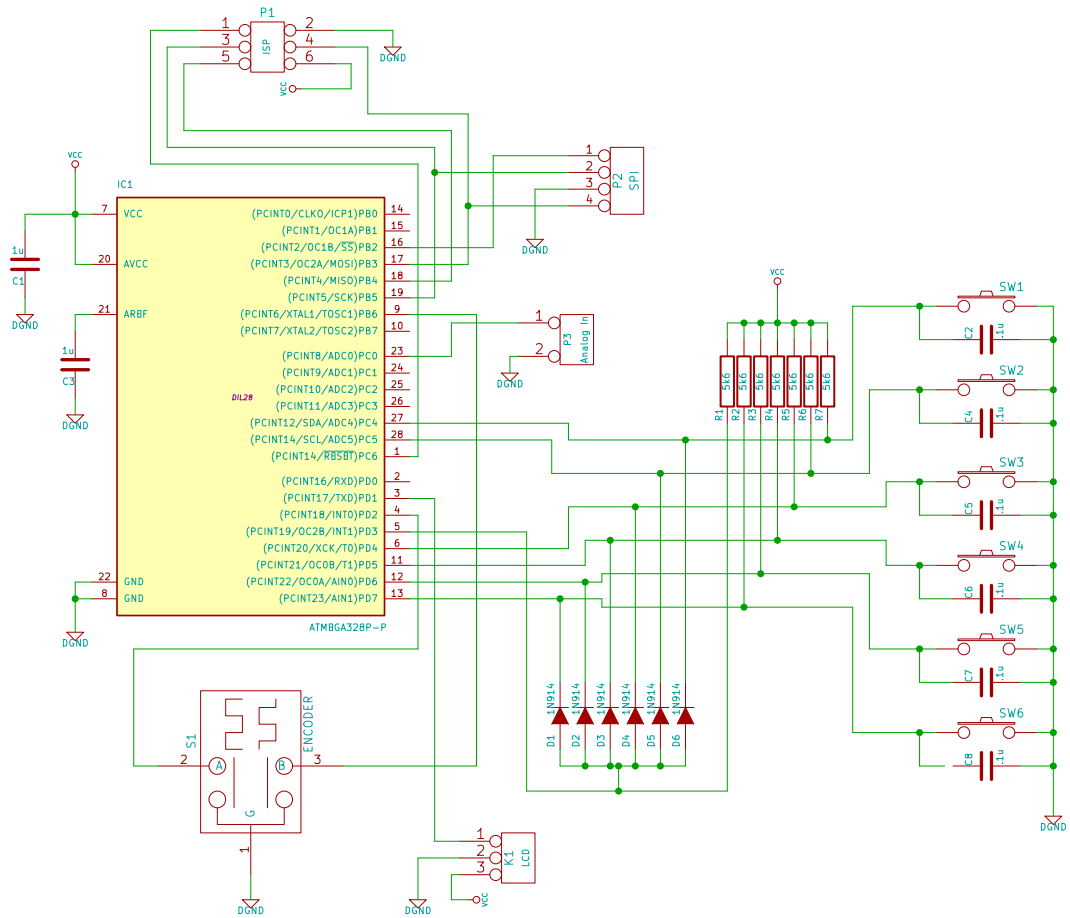


Figure C.1: Digital control board schematic. Five buttons are assigned to a directional joystick and the remaining button is just a standalone pushbutton on the front panel.

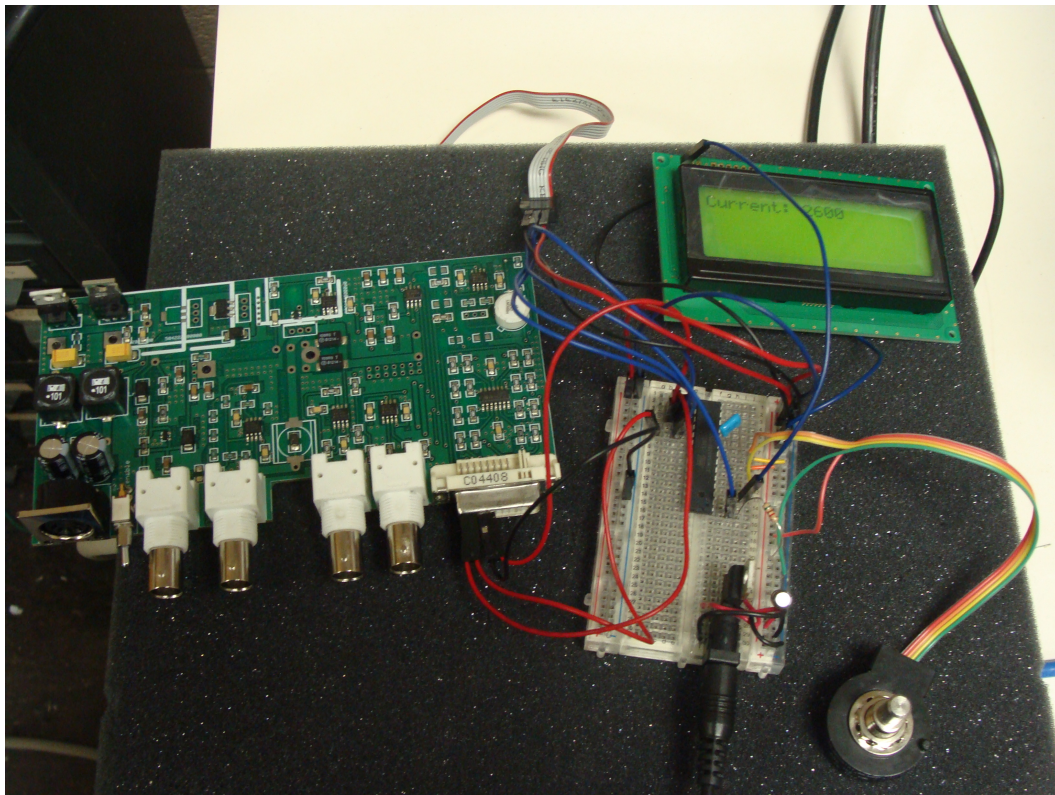


Figure C.2: Picture of the working prototype without the button. The current controller is shown in the left.

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